

Integrity Management Rule Frequently Asked Questions

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Integrity Management Rule Frequently Asked Questions

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INTEGRITY MANAGEMENT RULE BASICS

1.1 What are the Office of Pipeline Safety's objectives for the Integrity Management rule?

The integrity management rule has four primary objectives:

- accelerating the integrity assessment of pipelines in High Consequence Areas
- improving operator integrity management systems
- improving government's role in reviewing the adequacy of integrity programs and plans, and
- providing increased public assurance in pipeline safety.

Last Revision: 10/22/01

1.2 Who must comply with the rule?

The rule applies to all operators of hazardous liquid pipeline facilities subject to Part 195. The compliance dates for certain provisions are based on whether a particular pipeline was owned or operated by a company that had more or less than 500 total miles of hazardous liquid pipelines jurisdictional to 49 CFR Part 195 on May 29, 2001. These 500 miles need not be contiguous. Every pipeline segment that can affect an HCA, regardless of length, is covered by the rule.

Category 1 compliance deadlines apply to a segment if the pipeline of which that segment is a part was owned or operated on May 29, 2001, by an operator with 500 or more miles. Otherwise, category 2 deadlines apply. (Category 3 provides additional deadlines for new/converted pipelines).

A proposed rule covering natural gas pipeline operators was published on January 28, 2003 (Federal Register, Volume 68, p. 4278).

Last Revision: 2/18/03

1.3 Is integrity management simply inspection of pipe condition?

No. While periodically assessing the pipe condition and correcting identified anomalies is an important part of the rule, there are other important requirements. Operators must develop improved management and analysis processes that integrate all available integrity-related data and information and assess the risks associated with segments that can affect HCAs. Furthermore, operators must implement additional risk control measures if needed to protect HCAs. Examples of these additional measures include: enhanced damage prevention programs, reduced inspection intervals, corrosion control program improvements, leak detection system enhancements, installation of Emergency Flow Restricting Devices (EFRDs), and emergency preparedness improvements.

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1.4 What is a high consequence area (HCA)?

High consequence areas are defined in the rule as either:

- High population areas, defined by the Census Bureau as urbanized areas,
- Other populated areas, defined by the Census Bureau as places that contain a concentrated population,
- Unusually sensitive areas, or
- Commercially navigable waterways

Unusually sensitive areas are defined in the new Part 195.6 as drinking water or ecological resource areas that are unusually sensitive to environmental damage from a hazardous liquid pipeline release. OPS has applied this definition to identify HCAs and has made maps depicting these locations available to operators. Operators are also responsible for independently evaluating information about the area around their pipeline to identify changes that could result in new areas becoming HCAs.

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RULE APPLICABILITY

2.1 Does the rule apply to more than line pipe?

Yes. The continual evaluation and information analysis requirements of the rule apply to pipelines as defined in 49 CFR 195.2. This includes, but is not limited to, line pipe, valves and other appurtenances connected to line pipe, metering and delivery stations, pump stations, and breakout tanks. The baseline integrity assessment and periodic re-assessment requirements apply only to line pipe.

Last Revision: 2/18/03

2.2 What determines whether a pipeline is category 1, 2, or 3 for purposes of the compliance deadlines in the rule?

The size of the owner/operator of the pipeline on May 29, 2001, determines its category. If the pipeline was owned or operated on that date, by a company that owned or operated 500 or more miles of pipeline, then it is category 1. If the owner/operator on that date owned or operated less than 500 miles of pipeline, then it is category 2. Pipelines constructed or converted after May 29, 2001 are category 3. Subsequent changes in ownership, even if to an operator of a different size, do not change the category nor the applicable compliance deadlines.

Last Revision: 2/18/03

2.3 Do the requirements of the rule apply to "idle" pipe?

The regulations do not define "idle" pipe. Pipe is considered either active or abandoned. OPS understands "idle" pipe, as used in the context of this question, as pipe not currently being used to move hazardous liquid but that could be put back in service at a future date. This may include in-service pipe (i.e., contains hazardous liquids but is currently static or unused) or out-of-service pipe (i.e., effectively isolated from active pipe and containing water or inert gas). All idle pipe is subject to the requirements of the integrity management rule. However, idle pipe presents different risks and different treatment is appropriate.

In-service idle pipe (i.e., that contains hazardous liquid) represents a potential hazard to public health and the environment, even though idle. If such pipe leaks, adverse impacts can occur. Leaks may go undetected for some time, since idle pipe may not be covered by operator's SCADA systems. For these reasons, operators must meet all requirements and deadlines for pipe that is idled and contains hazardous liquids.

Out-of-service idle pipe represents a negligible hazard and OPS will accept deferral of certain activities required by the rule for out-of-service idle pipe. Operators must identify whether their out-of-service idle lines can impact HCAs. This will require, at a minimum, determining whether any portions of the lines lie within (i.e., intersect) HCAs. Operators can defer more detailed evaluation of whether non-intersecting segments of an out-of-service idle line can affect HCAs until they return the line to service.

Out-of-service idle line segments that can affect HCAs must also be identified in the Baseline Assessment Plan. Operators may defer conducting baseline assessments or re-assessments if the idle line remains out-of-service. It should be noted that out-of-service idled mileage need not be included in the 50% baseline assessment completion deadline requirements, but should be evaluated against those requirements if returned to service.

All deferred assessments and any known required repairs for which repair deadlines have passed must be completed as part of any later return of that line to service. A baseline assessment need not be run immediately if the deadline for completing baseline assessments for pipe of the same category (e.g., March 2008 for category 1 pipe) has not yet expired and a delay is consistent with 195.452(e)(1) BAP scheduling requirements. For example, to assure that the line returning to service is properly reflected in the BAP, required risk factors used to establish the BAP schedule should be reviewed and updated as necessary to reflect return to service operating conditions.

Similarly, a re-assessment need not be performed immediately if the baseline or most recent re-assessment was conducted within the interval indicated by the 195.452(j)(3) reassessment interval determination process (including updated risk factors). The baseline assessment plan or re-assessment schedule, as appropriate, should be modified to assure that an assessment is completed by the appropriate deadline. If the deadline has expired, then the assessment must be completed as part of returning the line to service.

Last Revision: 4/16/04

2.4 Does the rule apply to offshore pipelines?

Yes, but the rule does not apply to all offshore pipelines. The rule applies to those segments of offshore pipelines that could affect HCAs, principally commercially navigable waterways and unusually sensitive areas.

Last Revision: 10/22/01

2.5 Does the rule apply to gathering and other low-stress lines?

The rule applies to all pipeline (affecting HCAs) covered under Part 195. Rural gathering lines are not covered by Part 195, and thus do not fall under the rule. Non rural gathering lines affecting HCAs are covered by the rule.

Last Revision: 1/18/02

2.6 What is meant by “operator who owns or operates a total of 500 or more miles of pipeline” in 195.452 (a)? For example, if an operator who operates more than 500 miles of pipeline also owns a small percentage of a small pipeline (less than 500 miles) that is operated by a different organization - does that smaller operator have to comply with the deadlines for category 1 pipelines, even if its O&M manual, management processes, etc. are totally separate from the large operator?

Category 1 pipelines are defined in the rule as pipelines existing on May 29, 2001, that were then owned by an operator who owned or operated 500 or more miles of pipeline subject to Part 195. This means that if an operator also is part owner of another pipeline operating company, the mileage of that other company is included in determining whether or not the 500 mile criteria is met. If the total pipeline mileage is equal to or greater than 500 miles, then both companies' pipeline systems are considered category 1 - even if they have totally distinct O&M manuals, etc.

Last Revision: 2/21/02

2.7 If the operator of a small pipeline system (i.e. less than 500 miles) is partially owned by an operator who operates more than 500 miles of pipeline, who is responsible for preparing the Baseline Assessment Plan and complying with the provisions of this rule - the operator, or the company that is part owner?

The operator is required to comply with OPS regulations, and thus is responsible for preparing a Baseline Assessment Plan that meets all of the requirements in 195.452 (c). Operators may use outside resources, including adopting management plans prepared by parent companies, but that does not relieve the operator of responsibility for having a Baseline Assessment Plan.

Last Revision: 2/18/03

2.9 If a pipeline subject to 195.452 is sold, does the new operator “inherit” integrity management plans and deadlines from the original operator?

Acquisition of a pipeline by an operator includes accepting obligations attendant upon that pipeline as a result of regulatory requirements. For purposes of integrity management, an operator acquiring a pipeline would be expected to integrate that pipeline into its integrity management program. Multiple, independent integrity management programs are neither desirable nor required. Integration of new assets into existing Baseline Assessment Plans may result in realigning schedules for future assessments based on the relative risk of the acquired pipeline and the operator’s existing pipeline(s). The regulatory deadlines for assessments (e.g., that re-assessments be conducted within 5 year intervals) continue to apply, as well as the schedule requirements for any remediation required by 195.452(h) that may be pending at the time ownership of the pipeline is transferred. Compliance deadlines established in 195.452 for identifying segments that can affect HCAs and for completing Baseline Assessments continue to apply based on the category of the acquired pipeline, i.e., whether it was owned or operated on May 29, 2001, by an operator with more or fewer than 500 miles of pipeline.

Last Revision: 2/18/03

2.10 Who will be held accountable for implementing Integrity Management requirements in a case where an operator transfers pipeline assets to another company but retains responsibility, by contract, for maintenance and integrity management activities until some later date?

The operator is required to comply with OPS regulations. An operator may use outside resources including, in this example, contracting with the prior owner of the pipeline. OPS will inspect the operator’s Integrity Management Program, including any activities conducted by contractors. Any enforcement action that results from those inspections would be directed against the operator.

Last Revision: 2/18/03

2.11 If a pipeline transports both gas and liquids (e.g., some off shore lines), does the hazardous liquid integrity management rule apply, or will the forthcoming gas integrity management rule apply?

Lines that transport both liquids and gas must meet requirements applicable to both. In practice, this means that the more stringent requirement must be met. Such lines must meet 195.452. When an integrity management rule is promulgated for gas lines, any requirements in that rule that are more stringent would apply.

Last Revision: 11/12/01

2.12 Does the rule apply to the operator of a marketing facility if that operator does not own or operate a pipeline but rather receives and delivers hazardous liquid from/to third-party pipelines?

Yes, if the facility is otherwise covered by Part 195. (The integrity management rule, by itself, makes no changes to the applicability of Part 195 rules).

Part 195 applies generally to pipeline facilities, not just to line pipe. As such, the requirements of 195.452 also apply to facilities that are under Part 195 jurisdiction. The requirements of the rule that deal with assessment of line pipe are not, of course, applicable to such an independent facility operator. If the operator’s facilities could affect an HCA, then the operator would be required to have an integrity management program that implements all applicable requirements of the rule including, the need to identify risks to the facility and take preventive and mitigative actions to reduce these risks.

Last Revision: 2/18/03

SEGMENT IDENTIFICATION

3.1 When must pipeline segments subject to the rule be identified?

Pipeline segments that can affect an HCA must have been identified by December 31, 2001, for pipelines in category 1, and by November 18, 2002 for pipelines in category 2. Segment identification for category 3 pipe (i.e., pipelines that are newly constructed or converted after May 29, 2001) must be completed no later than the date the pipeline begins operation.

Last Revision: 2/18/03

3.2 Many operators have pre-defined segments on their pipeline (e.g., the length of pipe between two pump stations is considered a segment). When OPS refers to segments that can impact an HCA in the rule, in what context is the term segment used?

As used in the rule, a segment that can affect an HCA refers to a continuous portion of a pipeline system in which the released commodity from a failure occurring anywhere between the two end points of the segment could migrate to and affect an HCA. The segment sizes should be defined by whether or not a spill could impact the HCA and not by pre-set definitions used by the operator.

Last Revision: 2/18/03

3.3 How will an operator determine if a pipeline can affect an HCA?

Appendix C of the rule provides guidance on factors an operator should consider in determining whether a pipeline can affect an HCA. An example is provided in the Appendix. The factors are:

- Potential physical pathways between the pipeline and the HCA.
- Terrain surrounding the pipeline. An operator should consider the contour of the land profile and if it could allow the liquid from a release to enter an HCA. An operator can obtain this information from topographical maps such as U.S. Geological Survey quadrangle maps.
- Drainage systems such as small streams and other smaller waterways that could serve as a conduit to an HCA.
- Crossing of farm tile fields. An operator should consider the possibility of a spillage in the field following the drain tile into a waterway.
- Crossing of roadways with ditches along the side. The ditches could carry a spillage to a waterway.
- Potential natural forces inherent in the area (flood zones, earthquakes, subsidence areas, etc.)
- The nature and characteristics of the product the pipeline is transporting (refined products, crude oils, highly volatile liquids, etc.) Highly volatile liquids become gaseous when exposed to the atmosphere. A release could create a vapor cloud that could settle into the lower elevation of the ground profile.
- Physical support of the pipeline segment such as by a cable suspension bridge. An operator should look for stress indicators on the pipeline (strained supports, inadequate support at towers), atmospheric corrosion, vandalism, and other obvious signs of improper maintenance.
- Operating condition of pipeline (pressure, flow rate, etc.) and exposure of the pipeline to operating pressures exceeding the established maximum operating pressure.
- The hydraulic gradient of pipeline.
- The diameter of pipeline, the potential release volume, and the distance between the isolation points.
- Response capability (time to respond, nature of response).

Last Revision: 2/18/03

3.4 What is acceptable methodology and criteria for determining whether a segment could affect an HCA? (For example what spill volume should be considered - Worst-case discharge? Most likely discharge? Most likely worst-case discharge?) Can an arbitrary safe distance be applied or must location specific dispersion analyses be performed? Is air dispersion modeling expected or is spill trajectory adequate?

OPS expects each operator to develop a process for identifying what portions of its pipeline system could affect an HCA in the event of a failure. This process is a required Integrity Management program element per 195.452 (f). Operators are responsible for selecting a methodology and establishing any criteria needed to determine where pipeline failures could affect HCAs. OPS will look for sound engineering judgment with a reasonable amount of conservatism to account for uncertainties in the assumptions and calculation methods used in the analysis. Operators should be able to justify the assumptions used in making these determinations.

Companies that apply an arbitrary “safe distance” should justify how this distance was determined and provide convincing evidence that this “safe distance” is indeed bounding for its pipeline system.

Air dispersion should be considered in instances where hazardous material could be transported by air (e.g., failures of HVL lines).

Last Revision: 2/18/03

3.5 Do operators need to perform detailed consequence analysis to determine the specific impacts on population or USAs?

OPS expects that an operator will develop an understanding of the potential consequences of leaks and ruptures of its pipelines. The operator should be able to estimate the severity of releases in terms of volume of hazardous liquid that could be released, the physical pathways and dispersion mechanisms by which the commodity can be transported to an HCA, the amount of commodity that might actually reach the boundaries of the HCA, and the population and environmental resources that can be affected by such a release. The operator should develop a sufficient understanding of the severity and impact of potential releases to determine the appropriate preventive and mitigative actions required by 452 (i).

Last Revision: 2/18/03

3.6 Can the identification of segments that “can affect” HCAs be refined after the December 31, 2001, (or November 18, 2002, as appropriate) deadline?

OPS recognizes that some operators used methods with conservative assumptions in identifying which pipeline segments can affect HCAs to meet the initial compliance deadline. Refinement of these segments, potentially changing the boundaries of identified segments, may occur as more detailed analyses are performed later.

This refinement process could result in a conclusion that some segments (or portions of segments) identified by the deadline cannot, in fact, affect an HCA. OPS expects operators to document their justification for any such elimination of an identified segment, and may review the technical basis for these changes during inspections.

The refinement process could also result in identification of new segments that can affect HCAs, not included in those identified initially. OPS would not consider failure to identify such segments by the deadline to be a noncompliance unless there is a pattern demonstrating significant weaknesses in the process used to identify segments by the deadline. Newly-identified segments must be scheduled for baseline and re-assessment in accordance with the provisions of the rule.

Operators should not “refine” the definition of a segment that can affect an HCA once the process of conducting the baseline assessment for that segment has begun. The baseline assessment results must be evaluated, and repairs required by the criteria of paragraph (h) must be performed, for the entire segment as defined prior to conduct of the assessment. The boundaries of the segment can be reconsidered after

conclusion of the baseline assessment and repair process. The results of the assessment should be taken into account, as appropriate, in such reconsideration.

For new pipelines (i.e., category 3), OPS expects that segments that can affect high consequence areas will have been identified when the pipeline begins service. Subsequent refinement would not be expected to occur unless driven by outside circumstances (e.g., growth of a populated area).

Last Revision: 2/18/03

3.7 How will HCAs be identified and communicated to the industry?

OPS has developed maps showing the locations of HCAs (as defined in 195.450) throughout the nation. These maps are available to operators via the National Pipeline Mapping System.

Last Revision: 2/18/03

3.8 What are OPS expectations for operators to determine new or changed HCAs?

Operators are expected to monitor conditions along their line. When they become aware of changes that create or change an HCA (e.g., population expands to encompass more of the area near the pipeline right-of-way), this information should be factored into their integrity assessment planning, risk analysis, and consideration of the need for additional preventive and mitigative risk controls. Information on operator-identified HCAs need not be provided to OPS for mapping.

Last Revision: 2/18/03

3.9 When must newly-identified HCAs be included in the program?

Over time, new HCAs may be identified as population distributions change, or new drinking water or ecological resource data becomes available. OPS will periodically update the HCA maps and make them available on the National Pipeline Mapping System for operator use. Operators may also identify new HCAs on their own by monitoring local population growth or through knowledge of environmental resources that becomes available to them. In either event, a newly-identified HCA must be incorporated into the integrity management program within one year of its identification. A baseline assessment for pipeline segments that could affect newly identified HCAs must be performed within five years of its identification.

Last Revision: 2/18/03

3.10 On what frequency or schedule will changes to the HCA maps on the National Pipeline Mapping System be made? Will OPS announce or provide public notice of changes?

OPS recently updated the population HCAs to reflect the 2000 census data is provided by the Census Bureau (see Federal Register, Volume 68, page 3092, January 22, 2003). Future population updates will be made when new data is provided by the Census Bureau. The commercially navigable waterways HCAs were also recently updated (see above Federal Register Notice). Future changes to this data are expected to be infrequent. OPS currently intends to update the USA maps every five years.

OPS will post information on its web site when updates to the HCA maps are posted. In addition, when major revisions are performed, such as for the periodic USA update, OPS will publish a Federal Register Notice to announce the availability of the newly revised maps.

Last Revision: 2/18/03

3.11 How will OPS track changes to HCA information over time? When data fields are changed, will operators be able to clearly distinguish the new information from the old in NPMS?

OPS uses version numbers in naming HCA data layers. Visual comparison of different versions of the layers will reveal any differences in geographic information.

Last Revision: 2/18/03

3.14 If an operator desires location and other information on a specific ecological or drinking water USA to use in risk analysis and determination of potential pipeline release impacts, how can this information be obtained?

Those operators who desire to make more accurate determinations of whether their system can affect a particular USA can obtain more specific information on the location of particular USAs from their drinking water providers and state heritage networks. Contact information for a particular USA is included in the USA data layers that operators can obtain from OPS. GIS-related software will be required to view this information. OPS will not act as an agent for purposes of gathering additional information.

Last Revision: 2/18/03

3.15 Since the USA data in the National Pipeline Mapping System (NPMS) contains buffer zones around the actual drinking water or ecological resource, is it possible that an operator's evaluation to determine whether a spill could impact an HCA might show a release reaching a USA depicted on the NPMS map when in reality such a release might not actually reach the sensitive area?

Yes. In mapping USAs in the NPMS, buffers were used to account for the uncertainties in the species or drinking water location data. Thus it is possible that spills "just reaching the edge" of a USA boundary (for instance) might not actually impact the drinking water or ecological resource. Those operators who desire to make more accurate determinations of whether their system can affect a particular USA can obtain more specific information on the location of particular USAs by contacting the entities that supplied the drinking water and ecological data to OPS. Operators can find contact information for these drinking water and ecological data suppliers by clicking on the USA in the NPMS.

Last Revision: 2/18/03

3.16 What mechanism is available for questioning or challenging HCA and USA identification once such identification has been posted on the National Pipeline Mapping System?

HCAs and USAs have been defined in Part 195. These definitions were developed after considering significant public and industry input, and they are now final. OPS is using recognized organizations and data sources for mapping HCA information. Anyone having new information that they believe could affect the accuracy of the mapped HCAs (e.g., errors in data sources, or more recent data) should contact OPS.

Last Revision: 10/22/01

3.17 Must non-pipe elements of a pipeline system that can affect HCAs (e.g., stations and facilities) have been identified by 12/31/01 (11/18/02 for category 2 pipelines)?

Yes. While the assessment requirements of 49 CFR 195.452 are applicable to line pipe, all other requirements, including segment identification, are applicable to the entire pipeline system as defined in 49 CFR 195.2. OPS expects operators to understand which pump stations, terminals, and other facilities might also affect HCAs in the event of a failure.

Last Revision: 2/18/03

3.19 What types of considerations would OPS consider reasonable for determining whether pipelines can affect commercially navigable waterways in open water?

OPS has elected to use the National Waterways Network database as the basis for identifying commercially navigable waterways in National Pipeline Mapping System. This database includes commercially navigable waterways in open water (i.e., offshore or in the Great Lakes) and those that are inland (rivers, canals, harbors, etc.). Vessels that use commercially navigable waterways in open water, typically called fairways, can be rerouted. Pipeline spills in such areas may therefore have a limited impact on commerce. This is not the case for inland waterways or for specific routes that provide sole access to a port (e.g., where water depth may limit ships to a specific approach), or where for other reasons vessels can not be diverted.

OPS recognizes that other databases may provide waterway information that is more comprehensive. Such information may be used by operators in evaluating whether a specific pipeline system could affect a high consequence area. Operators may make reasonable distinctions for commercially navigable waterways in open water based on whether or not shipping could be rerouted. If a spill from a particular segment of pipeline would not be expected to interfere with commercial navigation, then operators may conclude that the segment cannot affect a commercially navigable waterway. Operators must still consider whether spills could affect other HCAs (e.g., drinking water intakes on the Great Lakes).

Operators may consult with agencies such as the U.S. Coast Guard and the U.S. Army Corps of Engineers for additional or more comprehensive information for conducting risk assessments. Such agencies can provide guidance on whether specific routes provide sole access to a port.

Last Revision: 2/18/03

3.20 What assumptions would OPS find acceptable for analysis of spilled product transport by waterway or topographical features?

Because the physical characteristics near pipelines can vary dramatically, OPS does not believe that any single set of assumptions would be applicable in all cases. For example, waterways may be broad, slow-moving rivers or fast-moving mountain streams. Topography near a pipeline can vary between a flat prairie and steep gullies. Ground cover can also affect the speed of product transport. Operators are responsible for considering the specific circumstances of their pipeline in the vicinity of high consequence areas, and determining the analytical assumptions that are appropriate. Operators are expected to consider the effect of extreme conditions (e.g., floods), and to use recognized sources for data regarding stream flow rates, etc. Operators should assure their analyses are reasonably conservative. OPS may review the technical basis for these assumptions during integrity management inspections.

Last Revision: 2/18/03

3.21 Why is it important that operators know the specific characteristics of high consequence areas their pipelines can affect?

Operators need to know the characteristics of HCAs that their pipeline can affect to make decisions required by the integrity management rule. For example, the type of HCA can affect the consequences of a leak or rupture, and thus affect the relative risk ranking of a segment. The type of HCA, or even the specific ecological resource involved in a USA, could affect decisions regarding preventive and mitigative measures.

Last Revision: 10/12/02

3.22 The National Pipeline Mapping System (NPMS) does not contain maps for drinking water USAs in New York and ecological USAs in Pennsylvania. Are operators responsible for identifying these USAs in these states?

Yes. Operators are responsible for having identified all pipeline segments that could impact HCAs in all states in which they operate, regardless of whether all of the HCAs have been mapped on NPMS. In those situations where USA maps are not available on NPMS, operators may use ecological and drinking water information used to prepare and maintain their spill response plans, as well as other resources from local and state agencies available to them to identify these USAs.

Last Revision: 2/18/03

3.23 Must concentrations of an operator's own personnel, e.g., a work camp, be considered high consequence areas?

The integrity management rule states that "other populated areas" are included in the definition of high consequence areas. "Other populated areas" are defined in 195.450 as "a place, as defined and delineated by the Census Bureau, that contains a concentrated population, such as an incorporated or unincorporated city, town, village, or other designated residential or commercial areas." If the Census Bureau delineates work camps or other areas containing concentrations of an operator's personnel as a Census Designated Place (treated as "other populated areas" in the HCA definition), they are clearly covered under the rule.

Section 192.452(d)(3)(i) also requires that “When information is available from the information analysis...or from Census Bureau maps, that the population density around a pipeline segment has changed so as to fall within the definition in §195.450 of a ... other populated area, the operator must incorporate the area into its baseline assessment plan as a high consequence area....” Thus, operators who are aware that work camps or other concentrations of their employees would meet the definition of other populated areas must also treat them as high consequence areas, regardless of whether they are listed on Census Bureau or NPMS maps.

Last Revision: 9/21/04

3.24 Can operators exclude pipeline from consideration under the integrity management rule on the basis that any effect it could have on a high consequence area is small?

No. Section 195.452(a) specifies that the rule applies to “...each hazardous liquid and liquid carbon dioxide pipeline that could affect a high consequence area....” The rule does not provide for excluding any pipeline based on the magnitude of the potential effect. Any pipeline segment that could affect a high consequence area is subject to the rule.

Last Revision: 9/21/04

3.25 Must I assume that a leak from a propane pipeline can affect drinking water USAs?

No. Operators can assume that propane is not soluble and will not affect drinking water supplies.

Last Revision: 9/21/04

BASELINE ASSESSMENT PLANS

4.1 What is an assessment?

As used in the rule, assessment constitutes all of the actions that must be performed to determine the condition of the pipe. This includes conducting internal inspections or hydrostatic tests, or using other technology that provides an equivalent understanding of the condition of the line. Any anomalies identified by the assessment that meet criteria in 195.452(h) must be remediated in accordance with the schedules in that paragraph, but these remedial activities are not considered part of the assessment. The interval in which a pipe segment must be re-assessed is considered to start with the end of field activities of an assessment.

Last Revision: 2/18/03

4.2 What must be in the Baseline Assessment Plan?

The Baseline Assessment Plan must include a written plan for performing the baseline assessments necessary to assure pipeline integrity for each pipeline segment that could affect an HCA. It must include:

- Identification of all the pipeline segments that can affect an HCA
- The integrity assessment method, or methods, planned for use on each identified pipeline segment
- A schedule for assessment of each identified segment
- An explanation of the technical basis for the integrity assessment method(s) selected and the risk factors used in scheduling the assessments.

Last Revision: 2/18/03

4.3 Under what conditions should the Baseline Assessment Plan be modified?

The Baseline Assessment Plan must be modified whenever there are changes to the pipeline segments that can affect HCAs. For example, if an operator identifies a new HCA through the monitoring its right-of-way or

through information analysis [as required by 195.452 (d) (3)], and determines that the new portions of its pipeline can affect this HCA, this newly identified pipeline segment must be included in the Plan. Pipeline that can affect newly-identified HCAs must be included in the Baseline Assessment Plan within one year after their identification. These pipeline segments must be assessed within five years of their identification.

The Baseline Assessment Plan can also be modified if the operator gains knowledge from the initial (baseline) assessments that leads to a change in inspection priorities, assessment methods, or other improvements to its program. The operator must document Plan modifications and the reason(s) for the changes. This documentation must be available for OPS review during an inspection.

Last Revision: 2/18/03

4.4 When must baseline assessments be completed?

All baseline integrity assessments must be completed by March 31, 2008 (category 1 pipelines) or February 17, 2009 (category 2). Assessments for 50% of the pipeline mileage that can affect HCAs must be completed by September 30, 2004 or August 16, 2005 for category 1 and 2 respectively. The highest risk segments should be prioritized for early assessment.

Last Revision: 2/28/02

4.5 How do the required dates for completing 50 percent and 100 percent of assessments apply to a category 2 pipeline that is acquired by an operator that had more than 500 miles of pipeline on May 29, 2001?

A pipeline that was category 2 on May 29, 2001 remains category 2 even if later acquired by an operator with 500 or more miles of pipeline. Fifty percent of the operator's total category 2 pipeline mileage that can affect high consequence areas must be assessed by August 16, 2005. One hundred percent must be assessed by February 17, 2009. Acquisition of this category 2 pipeline does not affect the compliance dates for the operator's category 1 pipeline (i.e., 50% of the mileage that can affect HCAs must be assessed by September 30, 2004, and 100% by March 31, 2008).

Last Revision: 2/18/03

4.6 Can assessments performed before the effective date of the rule be relied on as baseline assessments?

Integrity assessments conducted on category 1 pipelines after January 1, 1996 can be used as baseline assessments, provided they meet the criteria established by the rule. The corresponding date for category 2 pipelines is February 15, 1997. However, if an operator relies upon an assessment performed prior to March 31, 2002, (category 1) or February 18, 2003, (category 2) for purposes of establishing a baseline, that segment must be re-assessed in accordance with the rule's provisions for subsequent assessments. This means that re-assessment of segments for which pre-rule integrity assessments are used for a baseline must be conducted within five years following the dates those assessments were performed. For example, assume a pipeline segment that could affect an HCA was internally inspected in January, 1999, and that assessment met the rule's requirements. If the operator elects to use this inspection as the baseline assessment for a particular segment, then this segment would have to be re-assessed prior to January 2004.

Last Revision: 2/18/03

4.7 What must an operator consider in prioritizing pipe segments for assessment and re-assessment?

The risk posed by each pipeline segment covered by this rule must be considered in scheduling baseline assessments and periodic re-assessments. In scheduling assessments, an operator must consider all risk factors relevant to that pipeline segment. The rule requires that the following factors be included:

- results of the previous integrity assessment, defect type and size that the assessment method can detect, and defect growth rate

- pipe size, material, manufacturing information, coating type and condition, and seam type;
- leak history, repair history, and cathodic protection history;
- product transported;
- operating stress level;
- existing or projected activities in the area;
- local environmental factors that could affect the pipeline (e.g., corrosivity of soil, subsidence, climatic);
- geo-technical hazards; and
- physical support of the segment such as by a cable suspension bridge.

Additional factors relevant to particular pipelines should also be included. Examples are provided in Appendix C of the rule.

Last Revision: 10/22/01

4.8 The rule does not require the Baseline Assessment Plan to be developed until March 31, 2002; however integrity assessments performed since January 1, 1996 can be used to satisfy the baseline integrity assessment requirement. Will operators be penalized for using prior assessments as a baseline assessment if their risk analysis determines that some of these segments may be lower risk than segments which have yet to be assessed?

No. The rule requires that operators conduct baseline assessments on their highest risk line pipe first. Thus, the schedule in the Baseline Assessment Plan should show that the highest risk segments are scheduled for assessment prior to the lower risk segments. However, this does not preclude an operator from using a prior assessment for a baseline, even if the segment(s) covered by that assessment later turn out to be relatively lower risk.

Last Revision: 2/18/03

4.9 Will operators need to seek waivers from OPS in order to change assessment schedules after the initial Baseline Assessment Plan has been developed?

No. OPS understands that there are a number of factors that could result in the need to modify Baseline Assessment Plans after their initial preparation. For example, as information is obtained from the initial integrity assessments, risk analysis, and operating experience, an operator's understanding about the specific integrity threats and relative importance of those threats may change. An operator may elect to apply a different integrity assessment method (e.g., select a different in-line inspection tool that may improve the capability to detect a particular type of defect), or perhaps accelerate assessments in some areas because the risks are higher than previously understood.

Because assessment plans are likely to change, OPS expects operators to document the basis for changes in the plan so these can be reviewed during inspections. It is not necessary to apply for a waiver to change the Baseline Assessment Plan. Even though an operator's plan may change, the operator must still complete baseline assessments for 50% of the mileage that can affect HCAs by 9/30/04 for category 1 pipelines (8/16/05 for category 2 pipelines), and complete baseline assessments for all of the mileage that can affect HCAs by 3/31/08 (2/17/09 for category 2 pipelines).

Last Revision: 2/18/03

4.11 Should operators archive previous versions of their assessment plans so OPS can track changes to these plans over time?

OPS expects that changes to the Baseline Assessment Plan will occur as information is gleaned from the initial assessments, the integration of assessment results with other data, and operator risk analyses that utilize this new information. Operators must record and retain the technical basis for changes to their Baseline Assessment Plans. This information must be available for OPS review during inspections. While archiving previous versions of assessment plans is not required, an operator must have adequate documentation to show how the plans have changed and the technical justification for those changes.

Last Revision: 10/22/01

4.12 The rule requires that 50% of the line pipe that can affect HCAs be assessed by September 30, 2004 for category 1 pipe and August 16, 2005 for category 2 pipe. For purposes of determining the 50% mileage criteria, does an operator use the total mileage that has been and will be assessed, or just the mileage that has been determined as having the ability to impact an HCA? (For example, most operators who use internal inspection, will pig a greater distance than just the portion of the pipeline that can affect an HCA.)

For purposes of satisfying the mileage requirements, operators must use the cumulative mileage of pipeline segments that can affect an HCA. This includes the miles of pipe that intersect HCAs as well as areas outside those regions where a release could migrate to an HCA. Operators should not use the total miles assessed in making a determination of whether the 50% criteria has been satisfied.

Last Revision: 2/18/03

4.13 For purposes of meeting the deadlines for completing baseline assessments, is the date of the assessment considered to be the day when the tool run is complete, when the preliminary data is received, or when the evaluation of the in-line inspection results is complete?

The date on which an assessment is considered complete will be the date on which final field activities related to that assessment are performed, not including repair activities. That will be when a hydrostatic test is completed, when the last in-line inspection tool run of a scheduled series of tool runs is performed, or the date on which "other technology" for which an operator has provided timely notification is conducted. Evaluation of the assessment results, integration of other information, and repair of anomalies must still be performed in accordance with the requirements established for these activities in the rule. These activities are considered to occur after the completion of the "assessment".

In those rare instances in which only a partial assessment is performed (e.g., in-line inspection system loss of power results in loss of data near the end of a pig run) operators will be expected to evaluate the results that were obtained within 180 days of the early termination, in accordance with 195.452(h)(2). If however, the quality of the partial data is suspect and an entire rerun is to be performed, then the evaluation will be expected within 180 days after the successful rerun.

Last Revision: 10/12/02

4.14 Must all of the highest risk segments be assessed by September 30, 2004 for category 1 pipe (or August 16, 2005 for category 2 pipe), or will OPS allow operators some flexibility to deal with practical issues in scheduling assessments?

The rule requires that baseline assessments must be completed on at least 50 percent of the category 1 line pipe that can affect HCAs by September 30, 2004 (or August 16 2005 for category 2 pipe), starting with the highest risk pipe. Although OPS expects operators to concentrate on the highest risk pipe, some segments not among the highest risk pipe may be counted towards the 50 percent requirement. OPS recognizes that practical issues associated with scheduling and conducting assessments may lead to some lower risk pipe being assessed prior to high-risk pipe. For example, during a pig run to address a high risk segment, an operator may also assess another lower risk segment that happens to be located in the same section of pipe that is being inspected. This additional segment may be credited against the September 30, 2004 (or August 16, 2005) deadline. OPS inspections will review how an operator has prioritized segments for assessment to assure that appropriate emphasis is being placed on the highest-risk pipe.

Last Revision: 2/18/03

4.15 If an operator develops a single Baseline Assessment Plan that covers both intra- and interstate pipelines, does the need to complete assessments on 50% of the pipeline mileage that can affect HCAs apply to both intra- and interstate line segments, or just interstate line segment mileage? Should the company's Plan identify whether line segments are intra- or interstate?

The operator may develop a Baseline Assessment Plan as they desire as long as it meets the requirements of

the rule. The 50% requirement will apply to all pipeline systems that are covered under the rule - interstate and intrastate. Inspections for intrastate piping will be done by state agencies (if they are party to agreements with OPS). To facilitate OPS and state pipeline safety program inspections, it is desirable that Plans delineate which line segments are intrastate and which are interstate. This information will help to focus inspection activities by states and OPS to appropriate pipe segments.

Last Revision: 2/18/03

4.16 What specific information from the company's baseline assessment plan does OPS expect to retain in its inspection files? For example, will OPS retain the boundaries of segments that could affect HCAs, the assessment methods for these segments, the dates on which these segments will be assessed, etc.?

To improve the ability to monitor operator implementation of their assessment program, and more efficiently prepare for future inspections, OPS may record basic information on operator integrity assessment plans, including:

- the location of segments that can impact HCAs,
- the assessment methods to be used for those segments, and
- the schedule for conducting these assessments.

Once baseline assessments have been conducted, OPS may also record general information about the condition of the segment and actions taken to mitigate anomalies, as well as schedules for subsequent assessments. This information will be retained in OPS's internal inspection records.

Last Revision: 10/22/01

4.17 If an operator has multiple pipeline systems and/or multiple business units, does OPS require the operator to produce a single Baseline Assessment Plan for the entire company, or can an operator create multiple plans to align with its operating units and internal management practices?

Operators have the flexibility to prepare Baseline Assessment Plans to support their internal management processes and organization structure. Thus, an operator with multiple pipeline systems could have one plan for each pipeline system, one plan for each business unit (or operating entity within the company), or a single plan covering all pipeline systems it operates. Each Baseline Assessment Plan must meet the requirements of 195.452 (c) and address all pipeline segments that can affect HCAs for the pipeline system(s) covered by the Plan.

Last Revision: 10/22/01

4.18 What specificity does OPS expect for schedules in baseline assessment plans?

The degree of specificity of assessment schedules will vary depending on how far in the future assessments are planned. Assessments to be conducted in the near term are expected to be scheduled for specific dates, since contracts for vendor support for those inspections should already be in place. Assessments that are scheduled just beyond the planning horizon for vendor contracts likely will show expected months or quarters, since those should be the basis for discussions with potential vendors. Assessments that are scheduled in out years may only show quarter or year. How far out the planning horizon is will likely vary for different operators and vendors. OPS expects to see a viable, active planning and scheduling process that is likely to result in assessments being performed when scheduled. OPS will review an operator's process for managing its baseline assessment schedule during comprehensive inspections.

Last Revision: 10/12/02

INTEGRITY ASSESSMENT INTERVALS

5.1 How often must periodic integrity assessments be performed on pipeline segments that can affect an HCA after the baseline assessment is completed?

Assessments must be performed at intervals determined by the operator based on segment-specific risk factors but not to exceed 5 years unless an operator has sound technical justification for a longer interval and notifies OPS of its intent to use the longer interval.

Last Revision: 2/18/03

5.2 Does the requirement that an operator establish inspection intervals not to exceed five (5) years mean 5 calendar years (i.e., pipe assessed in 2003 must be re-assessed in 2008) or 5 actual years?

Re-assessments must be conducted within 5 actual years. For example, a pipe segment assessed on March 23, 2003 must be re-assessed before March 23, 2008.

Last Revision: 2/28/02

5.3 Must operators conduct re-assessments before they have completed all baseline assessments?

All baseline assessments must be completed by March 31, 2008, for category 1 pipelines, seven years after the effective date of the rule. Re-assessments for each segment that can affect a high consequence area must be performed within five years after the baseline assessment for that segment is completed (or less if the operator's risk evaluation determines that a shorter interval is needed to assure pipeline integrity). Thus, some re-assessments are likely to be required before all baseline assessments are completed if operators use the entire allowed period (i.e., until March 2008) to perform baseline assessments.

For example, a pipeline segment that can affect an HCA that is assessed (baseline) in 2002 will require re-assessment no later than 2007. (The situation is similar for category 2 pipelines, for which baseline assessments must be completed by February 15, 2009. Re-assessments will be required before that date for pipe segments whose baseline assessments are completed before February 17, 2004.)

Last Revision: 5/29/02

5.4 Can a re-assessment interval be extended beyond 5 years?

Re-assessment intervals can be extended if a sound technical evaluation and other external monitoring activities show the pipe to be in good condition and provide an equivalent level of understanding of pipe condition as internal inspection or pressure testing. Re-assessment intervals can also be extended if the integrity assessment technology most appropriate to examination of a specific pipe segment is not available. Operators must notify OPS whenever re-assessments are scheduled at longer intervals than 5 years.

Last Revision: 2/18/03

5.6 Can the operator use risk assessment data to defend longer intervals between integrity assessments?

The fundamental purpose of the rule is to improve protection in high consequence areas. Therefore, OPS expects strong risk-based arguments to be a primary component of technical justifications to use assessment intervals longer than 5 years.

Last Revision: 2/18/03

5.8 The early notice on the natural gas integrity management rules includes a provision for service interruptions as a consideration during scheduling of integrity tests. Is OPS considering/willing to extend the same or similar provisions to hazardous liquids operators? How would such considerations be handled?

OPS understands that practical considerations such as customer demands and meeting community energy needs will influence the scheduling of integrity assessments. Nevertheless, OPS expects operators to schedule and perform baseline and subsequent integrity assessments within the time frames required by the rule for all segments that can impact HCAs. Operators who believe consequences of interrupting service are so severe that baseline assessments for those segments can not be completed by March 31, 2008, (February 17, 2009, for category 2 pipelines) or that periodic assessments can not be performed within the allowable five year period should apply for a waiver for the affected segments.

Last Revision: 10/22/01

5.9 Once baseline assessments are complete, will operators be able to use their continuing evaluation process to identify primary threats and schedule assessments accordingly, even if this means conducting metal loss and deformation inspections on different intervals?

OPS expects operators to use the results of their risk analysis, which includes integration of prior integrity assessment results, to determine the appropriate interval for conducting future integrity assessments. This is referred to as the "continual process of evaluation" in 195.452 (j). Where internal inspection is the chosen assessment method, completing the re-assessment will require that both a metal loss and deformation tool be run. Either in-line inspection tool can be run more frequently if threats to pipeline integrity indicate that differing frequencies are appropriate. However, both tools must be run within the required re-assessment interval.

Last Revision: 10/23/01

INTEGRITY ASSESSMENT METHODS

6.1 What are acceptable integrity assessment methods?

Internal inspection and hydrostatic testing are acceptable methods to assess pipeline integrity. For electric resistance welded (ERW) pipe or lap welded pipe susceptible to longitudinal seam failures, the method selected must be capable of assessing seam integrity and of detecting corrosion and deformation anomalies. Other technologies that an operator can demonstrate provide an equivalent understanding of pipe condition may be acceptable methods. However, operators must inform OPS 90 days before conducting an assessment using other technologies.

Last Revision: 2/18/03

6.2 Are there different requirements for inspection of pipelines carrying highly volatile liquids?

The requirements applicable to these kinds of pipelines are no different than those that apply to other hazardous liquid pipelines. Those requirements include the need to consider risk factors applicable to specific pipeline segments. Pipelines such as these can involve unique risk factors, and the rule requires that these be considered in scheduling integrity assessments and considering the need for additional preventive and mitigative actions.

Last Revision: 10/22/01

6.3 Are there different requirements for inspection of overhead suspension pipeline bridges?

The requirements applicable to these kinds of pipelines are no different than those that apply to other hazardous liquid pipelines. Those requirements include the need to consider risk factors applicable to specific pipeline segments. Overhead suspension pipeline bridges can involve unique risk factors, and the rule requires that these be considered in scheduling integrity assessments.

Last Revision: 10/22/01

6.4 What kind of tool can an operator use to conduct integrity assessments by internal inspection?

The rule does not limit the type of tool or tools that can be used for internal inspection. Any tool(s) used must be able to detect corrosion and deformation anomalies, including dents, gouges, and grooves. OPS expects operators to evaluate the segment specific risks associated with each portion of the line that could affect an HCA and determine the appropriate assessment technology or combination of technologies to confirm whether or not those specific threats are present. For electric resistance welded (ERW) pipe or lap welded pipe susceptible to longitudinal seam failures, the tool(s) must be capable of assessing seam integrity and of detecting corrosion and deformation anomalies.

Last Revision: 10/22/01

6.5 What type of pressure test can be used to assess pipeline integrity?

The rule requires that pressure tests be conducted according to the requirements of 49 CFR Part 195, Subpart E. Operators choosing to assess by pressure test should also assure their corrosion control program is effective. OPS inspectors will pay particular attention to the adequacy of corrosion control programs for pipelines for which pressure testing is used.

Last Revision: 10/22/01

6.6 If an operator elects to use in-line inspection for satisfying its baseline assessment requirements, must a metal loss “smart” pig and a deformation tool both be run? If so, must these both be run at the same time, or can these runs be made at significantly different times?

Given the capabilities of current technology, an operator who elects to use in-line inspection will need to run two tools - a metal loss tool and a deformation device - to satisfy the baseline assessment requirements of 195.452 (c) (1). In most cases, OPS expects that these two tools should be run in a similar time frame to maximize the value of data integration. Furthermore, running the tools in close proximity allows the operator to readily identify potentially serious anomalies such as dents with metal loss. Assessments that consist of tool runs separated in time can be credited as baseline assessments in some circumstances. For example, an operator could use an in-line inspection conducted after January 1, 1996 using one tool but need to run the other tool to complete the baseline assessment. (In this case, the operator must evaluate other information about the segment to assure that no changes have occurred in the interim that might compromise the quality of data from the first tool run, and thus the ability to meaningfully integrate data). In the event that tool runs separated in time are relied upon as baseline assessments, the completion of field activities for the first run will be considered as the date from which the required reassessment interval must be calculated.

Last Revision: 2/18/03

6.7 Can internal inspection be performed using only a deformation tool if the analysis of the pipeline demonstrates that corrosion is not a primary integrity threat for a specific pipeline segment?

No. The rule requires that internal inspection be performed with a tool or tools capable of detecting corrosion and deformation anomalies. However, after conducting the baseline assessment, it is possible that an operator might determine that the interval between metal loss tool runs could be extended beyond the five year re-assessment interval if the assessment results review, data integration, and risk analysis demonstrates the line to be in good condition and corrosion is not a significant threat. In this case the operator would have to notify OPS of its intent to use an extended interval between metal loss tool runs, provide the technical basis for this determination, and describe the external monitoring activities that are in place to assure the pipe remains in good condition. OPS will review the technical basis for such assessment intervals during inspections.

Last Revision: 2/18/03

6.8 Will OPS establish criteria for minimum acceptable in-line inspection tool capability? (E.g., are low resolution magnetic flux leakage tools acceptable or must high resolution tools be used?)

OPS expects operators to select the integrity assessment method(s) that provide confidence the location-specific integrity concerns of a given pipe segment will be identified if they are present. Initially, OPS does not

intend to establish minimum criteria for in-line tools. However, OPS will evaluate the operator's technical basis for selecting a method for integrity assessment. The in-line tool selection process should consider factors such as a tool's detection capabilities and limitations, the accuracy with which it can locate and size anomalies, and the confidence associated with the tool's measurements. If standards are published that address minimum requirements for in-line tool capability, OPS will use this information in its inspection guidance.

Last Revision: 2/18/03

6.9 For operators having line pipe in states that have a pressure testing requirement, will satisfying the state requirement also suffice for satisfying the integrity assessment requirement of the integrity management rule?

Any pressure test which meets or exceeds the requirements of Subpart E will satisfy the integrity management rule. If a state's requirements are less (e.g., a shorter "hold" time), then the pressure test required by the state's regulation would not be satisfactory for compliance with 195.452 (c) (1) (i).

Last Revision: 10/22/01

6.10 What are the acceptable integrity assessment methods for ERW pipe or lap welded pipe susceptible to seam failure?

For ERW pipe or lap welded pipe susceptible to seam failures, an operator must:

- run an in-line inspection device(s) capable of detecting seam flaws, metal loss corrosion, and deformation anomalies, OR
- perform a Subpart E hydrostatic test.

In addition, as part of an effective integrity management program, OPS expects operators to determine and apply the most appropriate integrity assessment method or methods to address the specific integrity concerns of each pipe segment that can affect an HCA. OPS will review operator integrity management programs to be sure the operator selects an appropriate method(s) for addressing the integrity concerns of ERW and lap welded pipe. Thus, if seam issues are a particular concern, OPS believes operators electing pressure testing as an integrity assessment method should consider the value of supplementing a subpart E test with a spike test. For operators selecting hydrostatic testing as an integrity assessment method, OPS will review the effectiveness of the operator's corrosion control program for those segments.

Last Revision: 2/18/03

6.15 A reduction in operating pressure can provide an equivalent level of safety as that provided by a Subpart E hydrostatic test. Is a pressure reduction an acceptable integrity assessment method?

Although a pressure reduction can provide an equivalent margin to failure as a hydrostatic pressure test, a pressure reduction provides no information about the condition of the pipeline. One of the primary objectives of this rule is for operators to obtain a better understanding of the condition of their pipe so they can make well-founded technical decisions to reduce risk and protect HCAs. For this reason, pressure reduction is not considered to be an acceptable integrity assessment method in most situations. Section 195.452 (h) (1) specifies that a reduction in operating pressure taken to provide an immediate improvement in safety cannot extend more than 365 days without the operator taking additional remedial action.

Last Revision: 9/10/02

6.16 Will OPS allow liquid operators to use the Direct Assessment process being developed by the gas industry as an acceptable "other technology" for integrity assessment [see 195.452 (c) (i) (C)]?

OPS believes that the specific techniques (DCVG, C-Scan, Pipeline Current Mapper, etc.) identified in the Direct Assessment approach can be valuable diagnostic tools and would be appropriate for consideration as part of a comprehensive integrity management program by any operator. However, OPS has not yet defined how the Direct Assessment methodology must be applied to provide an "equivalent understanding of the

condition of line pipe” as required by 195.452 (c) (1) (i) (C). Thus, at the present time, any operator who desires to use this methodology instead of in-line inspection or pressure testing for conducting a baseline assessment must send OPS a notification of their intent including the technical basis for why the approach provides an equivalent understanding of the pipe’s condition [per 195.452 (c) (1) (i) (C)].

Last Revision: 10/22/01

6.17 Can I perform an assessment using an MFL tool without also running a deformation tool?

Yes, if certain other actions are taken. MFL tools can detect the presence of dents, but not reliably size them. Thus, OPS considers that any indication of a dent found using an MFL tool is potentially a defect meeting the repair criteria in the rule, until the contrary is demonstrated. OPS will accept an assessment conducted using an MFL tool without a concurrent deformation tool run if the operator specifically directs its ILI vendor to identify all potential dents. All such potential dents must then be excavated and examined, and those meeting rule repair criteria must be remediated. If all potential dents are not excavated, then a subsequent assessment using a deformation tool or hydrostatic test must be conducted on an expedited basis.

Last Revision: 9/21/04

ANOMALY REPAIR AND EXCAVATION

7.1 Do the anomaly repair schedule requirements in 195.452 (h) apply to ALL previous internal inspection runs performed by the operator, or just the integrity assessments required by 195.452 (i.e., the baseline assessment and subsequent integrity assessments)?

The repair and mitigation schedule requirements in 195.452 (h) apply to baseline assessments and subsequent re-assessments required by the new integrity management rule. In addition, operators are expected to review the results of their prior integrity assessments to prioritize pipeline segments for the Baseline Assessment Plan [see 195.452 (e) (1) (i)], and to perform the information and risk analysis required in 195.452 (g) and (j). In performing these reviews, operators should confirm that anomalies or defects identified in these earlier runs that might compromise integrity have been mitigated.

Last Revision: 2/18/03

7.2 How soon must the results of pipeline integrity assessment be evaluated?

Operators are expected to review the results of integrity assessments promptly. Operators are required to obtain sufficient information to identify conditions that present a potential threat to the integrity of the pipeline no more than 180 days after an integrity assessment, unless the operator can demonstrate that it is impracticable to obtain the information within this limit.

Last Revision: 1/17/02

7.3 What constitutes 'discovery of a condition'?

Discovery of a condition occurs when an operator has adequate information about the condition to determine that it presents a potential threat to the integrity of the pipeline. Depending on circumstances, an operator may have adequate information when the operator receives the preliminary internal inspection report, gathers and integrates information from other inspections, or when an operator receives the final internal inspection report. Operators are required to obtain sufficient information about a condition to make this determination no later than 180 days after an integrity assessment, unless the operator can demonstrate that the 180-day period is impractical.

Last Revision: 2/18/03

7.4 What is an 'immediate repair condition'?

An immediate repair condition is a detected anomaly involving:

- Metal loss greater than 80% of nominal wall regardless of dimensions.
- Predicted burst pressure less than the maximum operating pressure at the location of the anomaly. (Where burst pressure has been calculated from the remaining strength of the pipe using a suitable metal loss strength calculation, e.g., ASME/ANSI B31G).
- Dents on the top of the pipeline (above 4 and 8 o'clock position) with any indicated metal loss, cracking, or a stress riser.
- Dents on top of the pipeline with a depth greater than 6 percent of nominal pipe diameter.
- Significant anomaly that in the judgment of the person evaluating the assessment results requires immediate action.

Repairs must be made as soon as possible. An operator must reduce pressure (to a level calculated using the formula in section 451.7 of ASME/ANSI B31.4) until a repair can be made.

Last Revision: 1/17/02

7.5 What is a '60-day condition'?

Anomalies not meeting criteria for "immediate repair condition" but involving:

- a dent on the top of the pipeline with a depth greater than 3 percent of the nominal pipeline diameter (greater than 0.25 inches for a pipeline diameter less than Nominal Pipe Size (NPS) 12).
- a dent located on the bottom of the pipeline that has indication of metal loss, cracking, or stress riser.

Last Revision: 1/17/02

7.6 What is a '180-day condition'?

Anomalies not meeting criteria for 'immediate repair condition' or '60-day condition' but which include any of:

- Dents with depth greater than 2 percent of the pipeline's diameter (0.25 inches for pipeline diameter less than NPS 12) that affect pipe curvature at a girth or longitudinal seam weld.
- Dents on top of the pipeline with a depth greater than 2 percent of the pipe diameter (0.25 inches for a pipeline diameter less than NPS 12).
- Dents on the bottom of the pipeline with a depth greater than 6 percent of the pipeline's diameter.
- Remaining strength of the pipe results in a safe operating pressure that is less than the current established MOP at the location of the anomaly using a suitable safe operating pressure calculation method (e.g., ASME/ANSI B31G).
- Areas of general corrosion with a predicted metal loss of >50% of nominal wall.
- Predicted metal loss of >50% of nominal wall that is located at crossings of another pipeline, or is in an area of widespread circumferential corrosion, or is in an area that could affect a girth weld.
- Potential crack indications that when excavated are determined to be cracks.
- Corrosion of or along a longitudinal seam weld.
- Gouges or grooves greater than 12.5% of nominal wall.

Last Revision: 1/17/02

7.7 Are there other anomalies that an operator is required to address?

Yes. All conditions identified by an integrity assessment or information analysis that could impair the integrity of the pipeline must be evaluated and scheduled for repair. Part 195 Appendix C contains guidance concerning other conditions that an operator should evaluate.

Last Revision: 1/17/02

7.10 What is the minimum deformation that constitutes a “dent”?

The repair provisions of the rule establish minimum sizes for dents requiring remediation. These are:

- Immediate repair conditions include dents of any depth, on the top of the pipeline, that have an indication of metal loss, cracking, or a stress riser. Dents on the top of the pipeline that are greater than 6 percent of pipe diameter in depth are also immediate repair conditions, regardless of other indications.
- Dents defined as 60-day conditions include dents of any depth, on the bottom of the pipeline, with indication of metal loss, cracking or a stress riser. Topside dents between 3 and 6 percent of pipe diameter in depth are also 60-day conditions.
- Dents defined as 180-day conditions include those greater than 2 percent of pipe diameter in depth that affect pipe curvature at a girth weld or longitudinal seam, topside dents between 2 and 3 percent of pipe diameter in depth, and bottom-side dents greater than 6 percent of pipe diameter in depth.

Last Revision: 2/18/03

7.13 If an operator elects to use an assessment conducted prior to 2002 as its baseline assessment [per 452 (d) (2)], how long does the operator have to review the results of the prior assessment and identify any anomalies that have not already been repaired or remediated that meet the criteria established in 452 (h)?

After the operator declares that a prior assessment will be used as the baseline assessment for the purposes of satisfying 452 (c) (1), it must review the results of the prior assessment to determine if there are any anomalies meeting the criteria described in 452 (h) (4) that have not already been remediated. Once any anomalies that have not been addressed are discovered, the time frames for remediation established in 452 (h) (4) apply. An operator must make the determination of what anomalies need to be remediated no less than 180 days after the assessment has been declared to be its baseline assessment.

Last Revision: 2/21/02

7.14 If a segment that can affect an HCA is relatively short (e.g., only 2 miles in length), yet the operator internally inspects a longer portion around this segment (e.g., 50 miles from pig launcher to receiver), do the repair schedules in 195.452 (h) apply to the segment that can affect the HCA or the entire distance over which the pig is run?

The repair schedules in 195.452 (h) apply only to the segment that can affect the HCA. However, the operator is responsible for promptly addressing serious anomalies or defects identified in the other portions of the pigged section in accordance with 195.401 (b).

Last Revision: 2/18/03

7.15 The rule requires that an operator temporarily reduce pressure if an immediate repair condition is discovered (195.452(h)(4)(i)). With respect to this requirement:

a. Can the temporary reduction in operating pressure be based upon previous maximum operating pressures?

No. A reduction in operating pressure is intended to provide an additional safety margin until the defect can be remediated. To assure that additional margin is provided, the pressure reduction must be based upon pressures that the pipe has actually experienced, with the defect present (i.e., pressures for which safety has been demonstrated). These may be well below the “maximum operating pressure” for the pipe. The rule requires that the pressure reduction must be calculated using the method in section 451.7 of ANSI/ASME B31.4 if that method is applicable and the information needed is available. If that method cannot be used, the operator is responsible for determining an appropriate basis for assuring additional safety through a reduction in pressure. A reduction of 20 percent below the highest operating pressure actually experienced at the location of the defect within the two months preceding the inspection may provide the necessary additional safety margin.

b. Can the temporary reduction in operating pressure be based on calculations other than those defined in section 451.7 of ASME/ANSI B31.4?

The method described in section 451.7 of ASME/ANSI B31.4 is required by the rule and must be used for all circumstances for which it is appropriate (e.g., corrosion). There are anomalies defined by the rule as immediate repair conditions for which the method of section 451.7 is not applicable (e.g., dents). These are addressed in c. below. OPS is considering a change to the rule to recognize that section 451.7 is not applicable to all immediate repair conditions, and may also allow alternative methods for calculating the required reduction in pressure. Until the rule is changed, however, the specified method must be used in all instances in which it applies.

c. Is section 451.7 of ASME/ANSI B31.4 applicable for calculating the temporary pressure reduction required for top-side dents with metal loss (195.452(h)(4)(i)(C)) and dents greater than 6% of the pipe diameter (195.452(h)(4)(i)(D))?

No. The calculation in Section 451.7 of ASME/ANSI B31.4 is applicable to determining the remaining strength of pipe with corrosion defects or grind repairs (i.e., loss of wall thickness). Pressure must be reduced for other types of immediate repair conditions, but operators must develop appropriate engineering justification for the amount of pressure reduction. A reduction in operating pressure is intended to provide an additional safety margin until the defect can be remediated. To assure that additional margin is provided, the pressure reduction must be based upon pressures that the pipe has actually experienced, with the defect present (i.e., pressures for which safety has been demonstrated). These may be well below the "maximum operating pressure" for the pipe. A reduction of 20 percent below the highest operating pressure actually experienced at the location of the defect within the two months preceding the inspection may provide the necessary additional safety margin.

Last Revision: 2/18/03

7.17 What does "general corrosion" mean in the context of the 180-day repair criterion in 195.452(h)(4)(iii)(E)?

General corrosion is characterized by relatively uniform wall thinning over an area.

Last Revision: 10/12/02

7.18 How do the "burst pressure" that defines an immediate repair condition {452(h)(4)(i)(B)} and the "operating pressure" that defines a 180-day repair condition {452(h)(4)(iii)(D)} differ?

To determine if the repair provisions of 195.452 (h) (4) apply, an operator must determine the "burst pressure" and maximum safe operating pressure for locations where pipe wall degradation due to wall loss (e.g., corrosion) is identified.

Burst pressure is the minimum predicted failure pressure for the degraded pipe. In Modified B31G, the flow stress of the pipe is a material property related to its yield strength and is equal to the Specified Minimum Yield Strength (SMYS) of the pipe + 10,000 psi. Burst pressure of corroded pipe is determined by calculation, considering the flow stress and the dimensions of the metal loss (depth and length). For liquid pipelines, the maximum safe operating pressure of corroded pipe is equivalent to 72% of the pipe's calculated, predicted burst pressure. This equates to a safety factor of 1.39.

As noted, both burst pressure and maximum safe operating pressure are determined from calculations, given length and depth of pipe wall loss, using a suitable method (B31G and RSTRENG are referenced in the rule). If the new predicted burst pressure is determined to be less than the current established MOP, this indicates that the pipe could fail (burst) if it were operated at the current established MOP. This is an immediate repair condition as referred to in 195.452 (h) (4) (i).

If the new predicted burst pressure remains higher than the current established MOP, but the new maximum safe operating pressure is less than the current established MOP, a reduced safety margin is indicated. This condition requires remediation within 180 days as referred to in 195.452 (h) (4) (iii).

Last Revision: 7/9/02

7.19 Should tool tolerances be considered when determining if a detected anomaly meets repair criteria?

Yes. Operators are required to integrate relevant information on the condition of the pipeline in making decisions on excavation timing and other mitigative actions. Tool tolerances should be considered as part of the data integration process.

Information on tool tolerances should be used to assure that defects requiring early excavation and mitigative action are properly identified and characterized. This does not necessarily mean simply adding the vendor-supplied tolerance value to reported depth of indications. Several sources of data may be used, in conjunction with vendor-supplied tool tolerances, to characterize pipeline defects. These include results of previous excavations, confirmation digs, results of concurrent inspections, and comparison to prior inspections. Uncertainties in this data should also be considered.

In addition, information on tool tolerances may be incorporated in engineering analysis such as "probability of exceedance" to help operators prepare a comprehensive defect remediation plan and schedule future assessments. Pipeline operators have the flexibility to apply processes specific to their unique risks by utilizing these techniques when evaluating specific pipeline defects.

Tool tolerances are not the only uncertainty associated with assessment results, and are therefore not the only factor to be considered in evaluating the quality of internal inspection data and in making excavation timing and mitigation decisions. Defect characterization should consider all relevant uncertainties to assure that defects posing a potential integrity threat, including those meeting the criteria in 452(h)(4), are promptly identified.

Last Revision: 2/18/03

7.20 Is a 20 percent reduction in pressure an adequate interim measure for immediate repair conditions?

A reduction of 20 percent below the highest operating pressure actually experienced at the location of the defect within the period immediately preceding the inspection (e.g., two months) may provide the necessary additional safety margin. Operators should evaluate each situation to determine if additional reduction, or line shutdown, is needed. Operators must use Section 451.7 of ASME/ANSI B31.4 to calculate the required pressure reduction for all situations in which it applies (as required by 452(h)(4)(i)).

Last Revision: 10/12/02

7.21 Must anomalies identified during pig runs not considered "baseline" or "re-assessments" under the rule be repaired in accordance with the rule's repair criteria?

Yes. The integrity management rule requires a program that integrates all information regarding the integrity of the pipeline. Thus, all pig runs conducted after the effective date of the rule are considered activities covered by the rule. Anomalies discovered in segments that could affect high consequence areas after the effective date of the rule must be repaired in accordance with the criteria and schedules for repair conditions specified in 195.452(h). This includes anomalies identified by any pig run conducted after the effective date of the rule, even if the pig run is not considered a "baseline assessment" or "re-assessment".

Last Revision: 2/18/03

IM PROGRAMS AND FRAMEWORKS

8.1 What factors should drive Integrity Management Program changes?

An Integrity Management Program should change as appropriate to reflect operating experience, the conclusions drawn from integrity assessments made under the program, other maintenance and surveillance information, and evaluations of the consequences of a failure on the HCA.

Last Revision: 10/22/01

8.3 Will OPS prepare templates for Baseline Assessment Plans or Integrity Management Program Frameworks that operators can use?

No. Because of the significant diversity in operator integrity management programs and processes, OPS does not believe it is possible to develop a useful template that is broadly applicable across the industry. As long as the basic requirements for these documents as specified in 49 CFR 195.452 are clearly and completely addressed, an operator is free to use a format for these documents that best supports its internal management and operational needs.

Last Revision: 2/18/03

8.4 What is the difference between an acceptable Integrity Management Framework and a fully developed Integrity Management Program?

The integrity management rule (195.452) requires operators to develop and implement an Integrity Management Program. The Integrity Management Program Framework was intended to lay the foundation for how the operator intended to develop and implement its program. As described in 195.452 (f), the elements of an integrity management program must include several management, analytical, and operational processes. OPS expected that a number of operators may not have fully developed these aspects of their integrity management programs during the period immediately after the rule became effective. OPS also recognizes that making significant, fundamental changes in operator management, analytical, and operational processes and implementing new analytical tools takes time. As such, OPS did not expect operators to have fully developed integrity management programs by the initial deadlines (3/31/02 for category 1 pipelines and 2/18/03 for category 2 pipelines). OPS expects that all operators will now have fully developed programs, considering the time that has passed since the rule became effective.

Last Revision: 8/17/04

8.5 What is a framework?

A framework was to be an interim document describing how an operator then addressed each element of an integrity management program [195.452 (f)], and their plans for how they intended to improve these processes to reach a fully developed integrity management program. Hence, the framework was a roadmap for developing a full integrity management program. OPS now expects that all operators will have fully developed integrity management programs, considering the time that has passed since the rule became effective. A fully developed integrity management program would include complete, well-documented, and effectively implemented processes for all integrity management program elements defined in 195.452 (f) - e.g., data integration and integrity assessment results review, risk analysis, risk-based decision making, and performance evaluation.

Last Revision: 8/17/04

8.6 What is an Integrity Management Program?

An Integrity Management Program describes how the required elements will be implemented. Elements required to be part of the program (and the paragraphs of the rule in which they are described) are:

- a process for identifying which pipeline segments could affect a high consequence area (paragraph (f)(1));
- a baseline assessment plan meeting the requirements of paragraph (c) of the rule (paragraph (f)(2));
- an analysis that integrates all available information about the integrity of the entire pipeline and the consequences of a failure (paragraph (g) of the rule);
- criteria for repair actions to address integrity issues raised by the assessment methods and information analysis (paragraph (h));
- a continual process of assessment and evaluation to maintain the integrity of a pipeline (paragraph (j));
- identification of preventive and mitigative measures to protect the high consequence area (paragraph (l));

- methods to measure the effectiveness of the program (paragraph (k));
- a process for review of integrity assessment results and information analysis by a person qualified to evaluate the results and information (paragraph (h)(2)).

A listing of the segments identified by the process described in (1) above must be part of the baseline assessment plan (item 2) and is also considered part of the integrity management program.

A fully developed program involves complete documentation of how each element noted above will be performed. This documentation should include the designation of organizational roles and responsibilities for key IM activities.

Last Revision: 8/17/04

8.7 Will an operator be allowed to have one integrity management program that includes all of its regulated pipelines, in addition to those that can affect HCAs?

Yes. Most of the elements of an integrity management program (e.g., the risk analysis process and the review of integrity assessment results) can and should be applied to the entire pipeline system. However, in documenting the program results, those actions specific to segments that can affect HCAs and thus subject to the provisions of 195.452 (e.g., the integrity assessment results, pipeline repairs to address integrity issues, the leak detection system and EFRD evaluations, etc.), should be clearly discernable and readily available for OPS during inspections.

Last Revision: 1/3/02

8.8 Can operators include rural gathering systems in integrity management plans and programs? If so, will OPS inspect these portions of their plans and programs?

Operators can include rural gathering systems in their integrity management program if desired. However, at this time, the provisions of 195.452 do not apply to rural, low stress pipelines, and OPS will not inspect for compliance on these lines.

Last Revision: 10/22/01

8.9 Does OPS expect operators to apply different relative risk ranking systems for lines in HCAs?

An operator must have a process that considers all factors that affect the likelihood and consequences of pipeline failure and produces a relative risk ranking of pipeline segments that can affect HCAs. This same process can also be applied to other pipeline segments outside of HCAs. However, when conducting integrity management inspections, OPS expects to review a relative risk ranking of all segments that can affect HCAs as part of the Baseline Assessment Plan review.

Last Revision: 10/22/01

8.10 What integrity management program documentation should be available for OPS inspections and how long should that integrity management-related documentation be retained?

Appendix C, paragraph VI provides an extensive listing of records that should be kept, and documentation that should be developed and maintained for an integrity management program. In addition to these items, each operator may have documentation that is unique to its integrity management program operation or program results. Records associated with integrity management program activities such as internal inspection results, pipe repair and mitigation records, risk analysis results, and records associated with the implementation of other preventive and mitigative actions such as EFRDs should be retained for the life of the pipeline system. The technical justification for changes to the Baseline Assessment Plans, the use of other technologies, and the extension for integrity assessment intervals beyond 5 years should also be retained for the life of the system. Documentation of integrity management program operational, analytical, and management processes should be kept up-to-date to reflect current practices and insights obtained from the integrity management program results.

Last Revision: 10/22/01

8.11 What is a continuous process of evaluation and assessment?

After completing the baseline integrity assessment, an operator must periodically assess pipe segments that could affect high consequence areas, and evaluate the integrity of those portions of its system. An operator must base the assessment and evaluation frequency on risk factors specific to its pipeline, including at least the factors specified for consideration in scheduling assessments. The evaluation must consider the past and present integrity assessment results, risk analysis results, and decisions about repair, and preventive and mitigative actions taken to reduce risk.

Last Revision: 10/22/01

8.12 What kinds of information must be integrated in performing a continual evaluation of pipeline integrity?

An operator must consider all information relevant to determining risk associated with pipeline operation that could affect HCAs. This means information regarding the likelihood that a pipeline leak or failure will occur, as well as information regarding the consequences to an HCA. A list of some of the more important information that should be considered in an integrated manner is provided below.

- Results of previous integrity assessments
- Information related to determining the potential for, and preventing, damage due to excavation, including damage prevention activities, and development or planned development along the pipeline
- Corrosion control information (e.g., test station readings, close interval survey results)
- Information about the pipe design and construction (e.g., seam type, coating type and condition, wall thickness)
- Operating parameters (e.g., maximum operating pressure, pressure cycle history)
- Leak and incident history
- Information about how a failure could affect a high consequence area, such as the location of a water intake

Last Revision: 10/22/01

8.13 How is an operator to monitor the effectiveness of its integrity management program?

The rule requires that an operator's program must include methods to measure whether the program is effective in assessing and evaluating the integrity of each pipeline segment and in protecting the high consequence areas [195.452(k)]. OPS expects that integrity management programs will evolve and improve as experience is gained, and measurement of whether the program is effective is important in guiding that evolution. Operators should periodically evaluate the effectiveness of their integrity management program. This evaluation process should include reviewing:

- The integrity assessment methods and practices being used. (e.g., Are the in-line inspection tools delivering the quality of information expected?)
- The management and analytical processes. (e.g., Is the risk assessment process failing to identify problem areas on the line?)
- Root cause analysis of failures and near-misses. Are these occurrences being critically examined and are the lessons learned being implemented?
- Performance measures. Are objective measures of program results showing that improvement is needed?

Appendix C includes guidance on methods that can be used to evaluate a program's effectiveness.

Last Revision: 10/22/01

8.14 Will operators be expected to consider external conditions such as earthquake fault lines or mining subsidence in their integrity management program? Will these be classified as HCAs or require special repair provisions?

As part of the information and risk analysis required by 195.452 (f) (3) and 195.452 (i), an operator is expected to consider all information that can affect the likelihood and consequences of pipeline failure. 195.452 (e) (1)

specifically identifies geological hazards and subsidence as risk factors to consider in prioritizing segments for integrity assessment scheduling. Thus, if such external risk factors are present, they must be considered by the operator.

These external conditions are not HCAs. HCAs are specifically defined in 195.450 to include high population areas, other populated areas, commercially navigable water ways, and unusually sensitive areas. It is possible that geological hazards may be present in HCAs, but a region near the line that contains geological hazards is not (by itself) an HCA.

The repair provisions of 195.452 (h) apply only to line segments that could affect HCAs - not segments in geological hazard areas outside of HCAs. However, the presence of geological hazards or other external factors near line segments that can affect HCAs should be considered when establishing the schedule for anomaly mitigation and repair required by 195.452 (h) (3).

Last Revision: 2/18/03

8.15 The Integrity Management Program portion of the rule [195.452 (f)] applies to all portions of a pipeline system that can impact HCAs, including pump stations, terminals, and other equipment. What must an operator do to comply with the rule for these facilities?

While the integrity assessment provisions of the rule apply only to the line pipe, the other provisions of the rule apply to pump stations, terminals, and other equipment if a failure at these locations could impact a high consequence area. Thus, operators should include in their integrity management program processes for addressing these facilities. These processes should:

- identify if failures at these facilities could impact HCAs,
- integrate all available information affecting the likelihood and the consequences of equipment or facility failure, and
- identify and implement additional preventive or mitigative measures to reduce risk at these facilities, if needed.

An operator's performance monitoring process should evaluate the effectiveness of these processes and the risk controls that are implemented to reduce facility risk.

Last Revision: 10/22/01

8.16 The rule requires that the review of integrity assessment results and information analysis (i.e., risk analysis) be performed by a person qualified to evaluate the results and information. Are these covered tasks under the new Operator Qualification requirements? If not, how are operators expected to demonstrate that they have satisfied this requirement?

The integrity assessment results review and risk analysis are not "covered tasks" under Subpart G of 195. During OPS inspections, operators should be prepared to describe the relevant experience, training, and other qualifications of the personnel performing this work. As part of their Integrity Management Program, they should also describe their provisions for assuring that individuals performing this work have the necessary technical expertise and experience.

Last Revision: 8/17/04

8.17 Can pipeline integrity management programs required by 195.452 be part of broader corporate safety or integrity management systems (e.g., as described in API Publication 9100A, Model Environmental, Health and Safety (EHS) Management System)?

Pipeline integrity management programs must meet the requirements of 195.452. As long as those requirements are met, the programs may be part of broader company management systems. Elements of existing management systems that can meet the requirements of the rule can be incorporated into the pipeline integrity management program. Alternatively, operators may decide that processes and methods used in their pipeline integrity management programs could be useful for other purposes, and may integrate them into

broader company systems. OPS expects to see a description of the pipeline integrity management program that meets the requirements of the rule. OPS is willing to consider elements of broader company programs and systems as part of this program description, provided they are sufficiently complete and robust to meet rule requirements. It is the operator's responsibility to demonstrate how such existing corporate management systems meet the requirements of the rule.

Last Revision: 2/18/03

LEAK DETECTION, EFRD, AND ADDITIONAL RISK CONTROLS

9.1 What is an emergency flow restricting device (EFRD)?

An EFRD is a device that can limit the amount of product released as a result of a leak or rupture. An EFRD is defined by the rule as either a check valve or a remotely operated valve.

Last Revision: 2/18/03

9.2 What criteria must an operator use in determining whether emergency flow restricting devices are required to protect HCAs?

Operators must make these determinations using criteria that they define, considering the circumstances of each HCA and the pipeline segments that may affect it. The rule includes specified factors that must be considered in these evaluations. They include:

- the swiftness of leak detection and pipeline shutdown capabilities,
- the type of commodity carried,
- the rate of potential leakage,
- the volume that can be released,
- topography or pipeline profile,
- the potential for ignition,
- proximity to power sources,
- location of nearest response personnel,
- specific terrain between the pipeline and the high consequence area, and
- benefits expected by reducing the spill size.

An operator is required to install an emergency flow restricting device if the operator determines one is needed to protect an HCA. OPS inspectors will review operator determinations.

Last Revision: 10/22/01

9.3 What criteria will OPS use to determine whether an operator's evaluation of the need for EFRDs is satisfactory?

The operator's determination of whether or not to install EFRDs to protect a particular HCA or group of HCAs should result from a site-specific risk analysis. The rule requires [195.452 (i) (4)] that such an analysis consider at least the time required to detect leaks, the time required to shutdown the system and isolate the break, the type of commodity in the pipeline, the range of potential leak rates and volumes that could be released, the topography or pipeline profile, the potential for ignition, the proximity to power sources, the location of the nearest response personnel, the terrain between the pipeline segment and the HCA, and the expected benefits that can be achieved by installing an EFRD. OPS will be reviewing operator analyses for technical justification and thoroughness. Because of the significant variation in pipeline design and operation, the physical characteristics of the land and waterways near pipelines, and the different nature and location of HCAs, OPS believes specific EFRD installation criteria are neither desirable nor appropriate.

Last Revision: 2/18/03

9.4 What criteria must an operator consider in determining whether enhancements to leak detection are required?

Operators are required to have a means of detecting leakage on their pipelines. Operators must evaluate that capability and improve it, if necessary, to protect the high consequence area. The evaluation must include at least the following factors: length and size of the pipeline, type of product carried, the pipeline's proximity to the high consequence area, the swiftness of leak detection, location of nearest response personnel, leak history, and risk assessment results. In addition, OPS believes the operator should consider:

- The system operating characteristics (e.g., steady state operation, high transient pressure and flow),
- Current leak detection method for the HCA areas,
- Use of SCADA,
- Thresholds for leak detection,
- Flow and pressure measurement,
- Specific procedures for lines that are idle but still under pressure,
- Specific consequences related to sole source water supplies regarding additional leak detection means,
- Testing of leak detection means, such as physical removal of product from the pipeline to test the detection, and
- Any other characteristics that are part of the system leak detection.

Last Revision: 10/22/01

9.5 What is the minimum acceptable leak detection system in order to comply with 195.452 (i) (3), which states "an operator must have a means to detect leaks on its pipeline system."?

OPS will address leak detection capability with each operator according to the requirements of the regulation. This includes a "means to detect leaks" and an evaluation of the capability of the leak detection means. The rule specifies several factors that the evaluation must consider. These, and additional factors that OPS believes the operator should consider are:

- the length and size of the pipeline,
- type of product,
- proximity to the HCA,
- the swiftness of leak detection and the time to isolate the leak,
- location of nearest response personnel,
- leak history, and risk assessment results
- the system operating characteristics (e.g., steady state operation, highly transient pressure and flow),
- current leak detection method for the HCA areas,
- use of SCADA,
- thresholds for leak detection,
- flow and pressure measurement,
- specific procedures for lines that are idle but still under pressure,
- specific consequences related to sole source water supplies regarding additional leak detection means,
- testing of leak detection means such as physical removal of product from the pipeline to test the detection, and
- any other characteristics which are part of the system leak detection.

OPS will evaluate the operator's process for considering these factors and making decisions about the adequacy of leak detection during integrity management inspections.

Last Revision: 2/18/03

9.6 49 CFR 195.134 and 195.444 require that computational pipeline monitoring (CPM) leak-detection systems on hazardous liquid pipelines must comply with API Standard 1130 for design and operations/maintenance respectively. Paragraph (i) (3) of the integrity management rule requires that operators must have a means to detect leaks on pipelines that can affect HCAs. Must leak detection means used to satisfy 49 CFR 195.452 (i) (3) meet API-1130?

There are many ways that an operator may detect leaks. The operator must conduct a risk analysis, per 195.452 (i) (2) to identify the need for additional preventive and mitigative features. Leak detection capability must be evaluated, per 195.452 (i) (3), using the results of this risk analysis and other factors listed in that paragraph. An operator must determine if modifications to its leak detection means are needed to improve the operator's ability to respond to a pipeline failure and protect HCAs. An operator may determine, on an individual pipeline segment basis, that a CPM system is needed to meet this need. If a CPM system is employed, its implementation and operation must satisfy the requirements of 195.134/444, which reference certain aspects of API-1130.

Last Revision: 10/23/01

9.7 What preventive and mitigative actions must be taken to protect HCAs?

Operators must conduct risk analyses for the line segments that could affect HCAs. These analyses should identify and evaluate the need for additional preventive and mitigative actions to protect HCAs. The rule does not specify which actions must be taken. A list of some measures which might be taken includes:

- implementing damage prevention best practices,
- enhanced cathodic protection monitoring,
- reduced inspection intervals,
- enhanced training,
- installing EFRDs,
- modifying the systems that monitor pressure and detect leaks,
- conducting drills with local emergency responders, and
- other management controls

An operator must implement the appropriate preventive and mitigative actions to address the risks unique to each specific segment.

Last Revision: 10/22/01

9.8 What factors must be considered in risk analyses conducted to determine if additional preventive or mitigative actions are needed?

An operator must consider all risk factors relevant to a particular pipeline segment. This includes risk factors that influence both the likelihood and the consequences of pipeline failure. This would include design and construction information, maintenance and surveillance activities, operating parameters and operating history, right-of-way information, information about the population and the environment near the pipeline, etc. The rule specifically identifies several risk factors that should be considered including:

- terrain surrounding the pipeline segment, including drainage systems such as small streams and other smaller waterways that could act as a conduit to the high consequence area;
- elevation profile;
- characteristics of the product transported;
- amount of product that could be released;
- possibility of a spillage in a farm field following the drain tile into a waterway;
- ditches along side a roadway the pipeline crosses;
- physical support of the pipeline segment such as by a cable suspension bridge;
- exposure of the pipeline to operating pressure exceeding established maximum operating pressure.

Last Revision: 2/18/03

9.9 How long after completing the baseline assessment for a segment does an operator have to conduct a risk analysis and determine whether additional preventive or mitigative actions are needed (including the need for EFRDs and leak detection system enhancements)? If an operator determines that additional actions are warranted, how long does it have to implement them?

An operator should not necessarily wait until after an assessment is conducted to perform its risk analysis. For some segments, the baseline assessment may not be conducted for several years (e.g., after 2004). Operators can, and should, gather enough information before this time to evaluate risk and make the required determinations regarding preventive and mitigative measures.

After an operator completes its baseline assessment for a segment, it should integrate the results of this assessment into its risk analysis process to identify the most significant risks that remain, and then identify actions that can be taken to address the highest risks. Although the rule establishes no firm time limits by when this risk analysis must be performed, OPS believes it is reasonable to expect that this analysis as well as the identification of any additional potential preventive and mitigative actions should be completed within one year after the assessment has been performed. This will allow time for reviewing the assessment results and excavating the worst features, thereby developing confidence in the validity of the assessment and an understanding of the line's condition.

OPS recognizes that the time required to implement preventive and mitigative actions is highly dependent on the proposed risk control activity. Some actions may be simple "quick fix" activities that can readily be implemented in the field. Other actions may involve major capital expenditures and require significant time for budgeting, engineering and design, and implementation. Because of this wide disparity, there is no fixed time requirement for implementing preventive and mitigative actions. OPS expects operators to provide a schedule by when additional preventive and mitigative measures will be taken, and to act as quickly as practical after identifying the need for such risk controls. In situations where lengthy periods are required for implementation, operators should determine if there are relatively simple, interim measures that can be taken to reduce risk while major projects are being implemented.

Last Revision: 2/18/03

9.10 How do operators assess and control risk caused by third-parties over which they have no direct control?

As part of a comprehensive risk analysis required by 195.452(f) and 195.452(i), OPS expects operators to determine the risk associated with third party damage to pipeline segments that could affect an HCA. OPS will not prescribe specific risk analysis methods that the operator must use; there are a number of acceptable approaches. OPS also understands that outside force damage prevention is challenging because it involves factors outside of the operator's control. Nonetheless, there are a number of actions operators can take to reduce the likelihood of third party damage. If a pipeline segment can affect an HCA, and third party damage is determined to be a significant risk (e.g., as might be expected in a high population area, with new construction near the line), 195.452 (i) (1) requires the operator to take measures that reduce the likelihood of third party damage.

Last Revision: 10/22/01

9.11 Leak detection is applied to an entire system, which generally contains both HCA and non-HCA segments. Therefore, how do you compare leak detection between the HCA and non-HCA segments?

The rule requires that operators have leak detection on their pipeline systems. This detection provides protection against leaks in segments that can affect HCAs and in those that cannot. The rule also requires, however, that operators evaluate the capability of their leak detection means and modify, as necessary, to protect high consequence areas. This does not imply that new/improved leak detection capability must be installed only in segments that can affect HCAs, but rather operators assure that whatever leak detection is provided for the system is adequate to provide the level of protection appropriate for HCAs that the system can affect.

Last Revision: 2/18/03

9.12 Can I take credit for existing automatic valves in my analysis considering the need for Emergency Flow Restriction Devices (EFRD)?

Yes. Operators should consider all existing design features that would limit the amount of release in the event of a failure in evaluating whether addition of EFRDs is necessary.

Last Revision: 9/21/04

INSPECTION AND ENFORCEMENT

10.5 Will Integrity Management Program inspections be scheduled in advance?

OPS will schedule all integrity management inspections as far in advance as possible. OPS will coordinate the inspections with the companies to identify mutually agreed upon dates whenever possible.

Last Revision: 10/22/01

10.6 How can operators know what inspections will cover?

Inspections of integrity management requirements are being conducted using inspection protocols. Those protocols are available on this website (see [Integrity Management Protocols](#)).

Last Revision: 2/18/03

10.9 What are the state pipeline safety agency roles in the integrity management inspection process?

OPS will work with state hazardous liquid pipeline safety programs in conducting integrity management inspections. OPS has already provided training in the integrity management rule, the inspection protocols, and the inspection process for states with hazardous liquid programs. Integrity management training courses will be developed and offered to provide integrity management training to new state inspectors.

Prior to integrity management inspections, OPS will solicit information about local issues or state program integrity concerns associated with the pipeline being inspected. In those instances where operators provide integrity program information prior to an inspection, that information will be shared with the state programs in the states in which the operator has assets. Where possible, states will participate along with OPS in the inspection of interstate operators. States may also assist in follow-up inspections to address specific issues within their state. Following the inspection, OPS will share with and seek state program comment on draft summary reports and inspection-related documentation.

States with hazardous liquid programs will conduct integrity management inspections of intrastate pipelines within their state.

Last Revision: 2/18/03

10.10 Will integrity management inspection results on a company be publicly available?

OPS does not intend to make the detailed results of individual company inspections available to the public. However, consistent with the provisions of the Freedom of Information Act, members of the public may request and be granted access to information from OPS files. OPS is considering making summary level information on the industry's performance available to the general public on its web site. The specific information and measures of performance are under development.

Last Revision: 10/22/01

10.13 How will OPS provide training and oversight to state inspectors conducting reviews and audits of operator integrity management plans?

OPS has conducted training for state inspection personnel who are expected to participate in integrity management inspections. This training was based on the inspection protocols, and was similar to that provided to OPS inspectors. State personnel are also observing OPS conduct of inspections for interstate pipelines. OPS will monitor state activities in this area and will conduct additional training or provide additional guidance as necessary.

Last Revision: 12/5/02

10.14 Can an operator be cited for not complying with Appendix C?

The enforceability of Appendix C is addressed in the preamble to the final rule, as follows:

"An Appendix is guidance that is intended to give advice to operators on how to implement the requirements of the integrity management rule. An Appendix does not have the same force as the regulation itself. An operator does not have to follow the guidance. However, if an operator incorporates parts of the Appendix into its integrity management program, an operator must then comply with those provisions."

The guidance in Appendix C was also utilized in developing OPS's inspection protocols and will be consulted, as appropriate, during the inspection process.

Last Revision: 10/22/01

10.15 How will OPS ensure consistency in enforcing integrity management requirements?

The integrity management rule contains a number of management-based and performance-based requirements. Inspection for compliance with these requirements is fundamentally different than for prescriptive requirements. OPS recognizes that enforcing these requirements will require subjective judgments on the part of inspectors, and that it is important to assure consistency in this process. Consistency is being achieved through several means. First, integrity management inspections are being performed by a core of experienced inspectors. This core team is involved in developing inspection protocols and guidance, and has undergone multiple training and working sessions on the rule, the protocols, and the inspection process. The team also meets periodically to review inspection experience to be sure the appropriate level of consistency is being achieved. During these meetings as well as through other forums, all potential enforcement actions are reviewed to assure appropriate consistency.

Last Revision: 2/18/03

STATE ROLES, INTRA-STATE LINES

11.1 Some States have adopted, or are considering, integrity management rules including requirements similar to those in the federal rule. If a company operates both intra- and interstate pipelines in such a State, which integrity management rules apply to each type of pipeline?

A state certified to inspect an intrastate pipeline is required to have safety standards that are at least as stringent as the federal pipeline safety rules. If a State rule is less stringent, or has not been adopted as State law, the federal rule would apply to both intrastate and interstate pipelines.

Once a State has adopted integrity management program standards, then those standards, including any provisions that may be more restrictive than the federal rule, would be enforced by the State for intrastate pipelines.

Questions about applicability and enforcement of rules in specific States should be directed to the appropriate State agency.

Last Revision: 11/5/01

11.2 What are the state pipeline safety agency roles in the integrity management inspection process?

OPS will work with hazardous liquid state pipeline safety programs in conducting integrity management inspections. OPS has already provided training in the integrity management rule, the inspection protocols, and the inspection process for states with hazardous liquid programs. Integrity management training courses will be developed and offered to provide integrity management training to new state inspectors.

Prior to integrity management inspections, OPS will solicit information about local issues or state program integrity concerns associated with the pipeline being inspected. In those instances where operators provide integrity program information prior to an inspection, that information will be shared with the state programs in the states in which the operator has assets. Where possible, states will participate along with OPS in the inspection of interstate operators. States may also assist in follow-up inspections to address specific issues within their state. Following the inspection, OPS will share with and seek state program comment on draft summary reports and inspection-related documentation.

States with hazardous liquid programs will conduct integrity management inspections of intrastate pipelines within their state.

Last Revision: 2/18/03

11.5 For those requirements of State integrity management regulation that are less stringent than the Federal rule (e.g., time interval between periodic assessments), will OPS require the state to adopt or revise its rule to incorporate the Federal requirements?

State requirements must be at least as stringent as corresponding federal requirements. For example, the Texas Railroad Commission will assure that the requirements of the federal rule are being met, as a minimum, during its review of operator assessment plans (as required by the Texas rule). This will include assuring that 5-year re-assessment intervals are used for unusually sensitive areas in rural locations that would otherwise be subject to a 10-year requirement under the Texas rule. The Texas rule applies to more than high consequence areas.

Last Revision: 11/5/01

11.7 If an operator develops a single Baseline Assessment Plan that covers both intra- and interstate pipelines, does the need to complete assessments on 50% of the pipeline mileage that can affect HCAs apply to both intra- and interstate line segments, or just interstate line segment mileage?

The rule applies to both inter- and intrastate pipelines. Each should be treated the same for purposes of integrity management assessment and conformance with the schedule requirements in the rule. Therefore, 50 percent of the total affected mileage -- whether on inter- or intrastate lines -- must be assessed by September 30, 2004, or August 15, 2005, (depending on the category of pipeline) starting with the highest risk segments.

Last Revision: 2/18/03

11.8 If a state establishes a definition that expands upon the HCAs defined in 195.450, do the requirements of 195.452 apply to line segments that affect these additional state-defined HCAs?

For both intra- and interstate pipelines, the requirements of 195.452, as a minimum, apply to HCAs as defined in 195.450. States may apply more restrictive requirements, including a broader definition of HCAs to intrastate lines, but those requirements do not affect interstate pipelines. (It should be noted that the Texas rule does not define HCAs. The Texas rule applies to all pipe.)

Last Revision: 11/5/01

NOTIFICATIONS

12.1 What types of notifications are required by the rule?

The notifications required by the rule are:

Circumstance/type	Deadline for Submittal	Information Required
Inability to meet remediation deadlines in the rule and unable to reduce pressure	When operator determines schedules cannot be met	description of defects/repairs needed, reason for delay, why pressure can't be reduced, basis for concluding delay won't jeopardize health or environment, schedule for repair, other mitigative actions planned
Use of technology other than in-line inspection or pressure testing for conducting assessments	90 days prior to assessment	description of "other technology", basis for concluding equivalent understanding of pipe condition, schedule for assessment
Variance from 5-year re-assessment interval (unavailable technology)	180 days before end of 5-year interval	date and method of last assessment, reason why required interval cannot be met, interim evaluation of pipe integrity, schedule for assessment
Variance from 5-year re-assessment interval (engineering basis)	270 days before end of 5-year interval	date and method of last assessment, proposed new retest interval, actions that will provide equivalent understanding of pipe condition, summary of engineering basis

In addition, all notifications must include information about the pipe segments and HCAs involved.

Last Revision: 2/18/03

12.3 When must notifications be submitted?

Notifications of different types must be submitted on different schedules:

- Notification of intent to use other technology to perform an assessment must be submitted no less than 90 days prior to the scheduled assessment.
- Notification that an operator will be unable to meet required remediation schedules must be submitted as soon as it is determined that the schedule cannot be met.
- Notifications that an operator intends to use a re-assessment interval longer than 5 years must be submitted no less than 270 days before the end of the five-year interval for extensions justified by an engineering basis and no more than 180 days before the end of the five-year interval for extensions based on unavailability of inspection technology.

OPS encourages operators to submit notifications as far in advance as practical to assure time for appropriate review and for making alternative plans in the event that OPS objects to the proposed alternative approach.

Last Revision: 4/17/03

12.4 What information must be in a notification?

Notifications must provide enough information for OPS to understand the reason for the deviation/change from the actions specified in the rule. They must also include information about the affected pipe segments. OPS will consider this information in reviewing the notification. Notifications must also include the name, title, telephone number, and e-mail address of the person responsible for their integrity management program, who may be

contacted if additional information is needed. Operators can submit notifications via this web site (will require a password). The data fields on the form provide additional guidance regarding the information that should be included.

Last Revision: 12/6/02

12.5 How can notifications be submitted?

Operators can submit notifications on-line (<http://primis.rspa.dot.gov/imdb>). A password is required. Operators who require a password should send the name and e-mail address of the person responsible for their integrity management program to support@cycla.com. Operators can also submit notifications as specified in the rule: in writing to Information Resources Manager, Office of Pipeline Safety, Research and Special Programs Office, U.S. Department of Transportation, Room 7128, 400 Seventh Street SW, Washington DC 20590, or by fax to 202-366-7128. Submission by mail or fax is not required if the notification is submitted on-line.

Last Revision: 4/17/03

12.6 Will OPS review operator notifications and formally respond to the operator? Will OPS communicate responses to specific company notifications to the broader industry?

Operator notifications inform OPS of changed circumstances in the operator's integrity management program, which may indicate a need for an unscheduled inspection. OPS expects to review all notifications received from operators, to determine if such inspections are needed. A centralized process involving input from the OPS Regions will be used to assure thorough review. Notifications concerning intrastate pipeline segments in states with certified hazardous liquid pipeline programs will be reviewed by state pipeline safety officials. A description of the notification review process, including the process flowchart, is located [here](#).

A summary of each notification (omitting any sensitive information) will be posted on this web site, along with the status of their review (under review, no objections, or objections noted). This will allow the public to see where operators are deviating from the rule, and will also allow operators to see notifications from other operators. Operators who submit notifications will receive e-mail informing them any time the review status of their notification changes. OPS will respond any time it determines that it objects to the actions proposed in a notification, describing the basis for its conclusion and additional actions it expects operators to take.

Operators subject to specific State rules and regulations that require notification must also comply with those requirements.

Last Revision: 4/17/03

12.7 How will an operator know if OPS objects to its notification?

OPS will respond in writing to any operator notification to which it has objections. OPS will follow up with these operators and will conduct special inspections as needed.

Last Revision: 4/17/03

12.8 How will an operator know if OPS has no objections to its notification?

Operators will receive e-mail informing them of changes in the status of their notifications, including when a review is completed with no objections noted.

Last Revision: 12/6/02

12.9 Do I need a password to view operator notifications?

No. Anyone can view summaries of all notifications that have been submitted by operators, and the status of OPS review of each notification, via this web site.

Last Revision: 4/15/02

12.11 What must be included in notices informing OPS of inspection intervals that will extend beyond 5 years? When must they be submitted?

The rule clearly anticipates that most operators will adhere to the 5 year retest interval and that operators must make every effort to do so. Operators seeking to extend retest intervals will be expected to exercise and document their due diligence well in advance of the deadline.

An operator extending an inspection interval beyond 5 years because the selected integrity assessment technology is not available must provide technical justification for why the intended assessment tool is most appropriate, explain why it cannot be available in time, and provide an estimate of when the assessment can be completed. The operator must also describe actions it is taking to evaluate the integrity of the pipe in the meantime. Notices of this kind of extension must be submitted to OPS no less than 180 days before the end of the 5-year interval.

Operators using an 'engineering basis' to justify a re-assessment interval in excess of five years must provide a description of the technical basis for the extended interval. The justification must also include use of other technology, such as external monitoring technology, to provide an understanding of the condition of the line pipe. Operators need not submit detailed engineering evaluations; these evaluations will be examined during OPS inspections. Operators must submit a notification of this kind of extension no less than 270 days before the end of the 5-year interval. This will allow time for review and inspection of the technical basis to occur.

In either case, OPS will consider an operator's justification to determine if they agree that it supports a longer inspection interval. This will include inspections, as needed. If OPS concludes that a longer interval is not justified, they will inform the operator and a 5-year inspection interval will have to be used.

Last Revision: 4/17/03

12.12 With 'online' notification submission, is there a confirmation that the submitted notification was actually received?

Operators who submit notifications via the IMDB web site will be asked to include an e-mail address with the notification. Messages will be sent to that e-mail address each time the status of a notification changes. This includes when it is considered 'submitted' for review.

Last Revision: 10/12/02

NATIONAL PIPELINE MAPPING SYSTEM

13.1 How do operators obtain information about the location of high consequence areas now that National Pipeline Mapping System (NPMS) information is no longer publicly available on the internet?

The pipeline and unusually sensitive area data layers are no longer available for download from the NPMS homepage. Operators are able to obtain the data by contacting OPS directly. Instructions on requesting the information are available on the NPMS data download page. OPS will only provide back to the operator a copy of their pipeline system and not a copy of all pipelines in NPMS. High Population Areas, Other Populated Areas, and Commercially Navigable Waterways are available for download from the NPMS data download web page.

Last Revision: 2/18/03

13.2 How is a single line that transports both gas and liquid represented in the National Pipeline Mapping System?

The pipeline is classified based on the primary commodity listed in the COMMODITY1 attribute field. If COMMODITY1 is "crude oil", then the pipeline is classified as a hazardous liquid pipeline.

Last Revision: 11/12/01

13.3 Is the National Pipeline Mapping System collecting gathering and distribution line data?

No.

Last Revision: 10/23/01

13.4 When will OPS require operators who have not supplied their system maps to the National Pipeline Mapping System to provide this data?

The Pipeline Safety Improvement Act of 2002 requires operators to submit information on the location of their pipelines to OPS. OPS issued an Advisory Bulletin instructing operators how to submit to NPMS (Federal Register, February 3, 2003 Volume 68, page 5338). A Notice of Proposed Rulemaking will be issued later in 2003.

Last Revision: 2/18/03

13.5 With regard to National Pipeline Mapping System drinking water data, all of the sources appear to be the same size - where does the data come from? How was the diameter/buffer from intakes determined?

Most of the drinking water data comes from state drinking water agencies and the Environmental Protection Agency. The drinking water USAs that are surface water intakes have a five mile buffer placed around their location. The ground water USAs have buffers that vary in size. These buffers are designated by the state's source water protection program or their wellhead protection program and these buffer sizes vary from state to state.

Last Revision: 10/23/01

13.6 Operators were required to provide National Pipeline Mapping System (NPMS) information on the confidence/accuracy of their pipeline location information. Is a similar accuracy available for the other data layers?

Yes. Metadata is associated with the commercially navigable and High Population/Other Populated Areas data layers in NPMS. The metadata describes the sources and accuracies of the data layers. Metadata is being developed to provide information on the data used to create the USAs.

Last Revision: 12/5/02

13.8 Should operators inform OPS of changes they determine are needed to HCAs as defined in the National Pipeline Mapping System (NPMS)?

Operators should evaluate the information they obtain from the NPMS to determine if it is accurate. If operators conclude that changes to HCA boundaries are needed (e.g., populated areas have expanded), then operators should make those changes to the HCAs as they are used in the operators' integrity management programs. Operators should document the reasons for such changes and be prepared to discuss them during OPS inspections. Operators should not generally inform OPS of such changes. OPS relies upon other agencies (e.g., Census Bureau, Corps of Engineers) for the data used in the NPMS and does not have the resources to engage those agencies to make minor changes. An exception in which operators should notify OPS would be if significant errors are found. As an example, rivers in Alaska were found to be mis-located in early versions of the NPMS due to digitizing errors in the original Commercially Navigable Waters data set. OPS will work with other agencies as appropriate to try to correct any major deficiencies in the NPMS. Operators should contact their OPS Region representatives if they identify errors of this nature.

Last Revision: 2/18/03

13.9 When does OPS expect to update the National Pipeline Mapping System?

OPS recently updated the population HCAs to reflect the 2000 census data is provided by the Census Bureau (see Federal Register, Volume 68, page 3092, January 22, 2003). Future population updates will be made

when new data is provided by the Census Bureau. The commercially navigable waterways HCAs were also recently updated (see above Federal Register Notice). Future changes to this data are expected to be infrequent. OPS currently intends to update the USA maps every five years. Pipeline data is updated continuously as new and revised data is received from pipeline operators.

OPS will post information on its web site when updates to the HCA maps are posted. In addition, when major revisions are performed, such as for the periodic USA update, OPS will publish a Federal Register Notice to announce the availability of the newly revised maps.

Last Revision: 2/18/03

MISCELLANEOUS

14.1 Must pipe for which the maximum operating pressure has previously been reduced (e.g., to preclude the need for pressure testing in accordance with 195.302(b)(1)) be repaired or retested to restore its original, higher maximum operating pressure?

Pipe that has been subjected to prior reductions in maximum operating pressure is not exempt from integrity management requirements. Such pipe must be included in an operator's integrity management plan. The integrity management rule does not, however, require that the maximum operating pressure for such pipe be increased. The MOP in effect at the time of scheduled integrity management assessments is the MOP for purposes of compliance with 195.452. It is not acceptable to lower MOP subsequent to an assessment in order to preclude identified anomalies from meeting remediation criteria in paragraph (h) of the rule. As required by the rule, any reduction in pressure taken to provide an immediate additional margin of safety may not last more than 365 days without the operator taking further remedial action to ensure the safety of the pipeline.

Last Revision: 2/28/02

14.2 What are recognized industry practices?

Recognized industry practices include those found in national consensus standards or reference guides.

Last Revision: 10/22/01

14.3 When can an operator use an alternative to a recognized industry practice?

An operator may elect to use an alternative to a recognized industry practice for any of several reasons. For example, an alternative practice could utilize new technology, such as a new generation of internal inspection device that has improved detection capabilities. An alternative technology could also be one that has been successfully used in other countries or by other pipeline companies but has not yet been codified into a national consensus standard. OPS wants to encourage operators to use innovative practices that are based on sound engineering judgment. Use of such alternatives helps improve the state-of-the-art in pipeline safety technology. The rule requires that the selection of an alternative must be based on a reliable engineering evaluation. Use of an alternative must provide an equivalent (or better) result than using the recognized practice. An operator must document its use of an alternative practice when the operator makes the decision to use the alternative.

Last Revision: 2/18/03

14.4 What is DOT's purpose for creating an Appendix C rather than placing this material in the regulation?

Appendix C was created to provide additional guidance and clarification for selected requirements in the rule. This was provided to assist operators in understanding the basic rule requirements and what might be necessary for compliance. Because the information in Appendix C is guidance, rather than mandatory requirements, it was determined that an Appendix was the appropriate location for this material.

Last Revision: 10/23/01

14.5 API-1160 was recently approved. What process will OPS use to determine whether to adopt or reference portions or all of this standard in 195? Does OPS intend to reference API-1160, or replace Appendix C with API-1160? On what time frame can the industry expect this decision to be made?

OPS will consider whether or not to adopt all or part of this Standard. Public comment will be solicited as input to this decision process. OPS is currently focused on developing the inspection program for hazardous liquid pipeline operators, as well as preparing integrity management requirements for natural gas operators. These are among the highest priorities on the OPS regulatory agenda. OPS will work as quickly as possible to consider the adoption of API-1160, consistent with the need to complete these high priority initiatives.

Last Revision: 2/18/03

14.6 Can operators include potential business consequences (e.g., curtailments, plant shutdown) in its risk determinations?

The focus of the integrity management rule is reducing the risk of pipeline failures to high consequence areas. The integrity management programs developed to comply with rule requirements should include the use of risk analysis to support operator integrity decisions. Operator risk analysis processes require the evaluation and measurement of both the probability and consequences of pipeline failures. The appropriate consequences to be included in these risk analyses depend on the decisions that are being supported by the risk analysis results.

In the context of fulfilling requirements of the integrity management rule, operators should maintain a focus on the risk of failures to high consequence areas. Consequently, operators should emphasize those consequences that are considered in the definition of high consequence areas (i.e., human health and safety, environmental protection, property damage, local economic impacts).

If consequences considered in the risk analysis are expanded to include consequences related to operator business performance, then the operator must provide assurance that this approach does not skew decisions away from protection of HCAs. For example, consideration of operator business performance consequences should not result in pipeline segments with high risk to HCAs being given lower priority for integrity assessments than segments with low risks to HCAs but higher business consequences.

There may be situations in which business impacts have secondary related safety consequences. Operators may include these consequences in the overall assessment of risk related to an integrity decision. It is necessary, however, that such secondary consequences are evaluated and balanced appropriately with other safety and environmental consequences in the risk analysis.

Last Revision: 10/12/02

14.7 What type of risk analysis process would OPS prefer, quantitative or qualitative?

Risk analysis plays a critical role in several integrity management program elements. These include ranking segments that can affect HCAs for assessment, determining appropriate re-assessment intervals, and evaluating the need for preventive and mitigative actions. Operators are responsible for applying a risk evaluation methodology that suits their circumstances and can fulfill these needs. They can be either quantitative or qualitative. OPS will consider how the operator assesses risk, including its thoroughness and completeness in applying whatever approach is used.

Last Revision: 10/12/02

14.8 How do you determine if an operator's management is taking the IMP requirements seriously, as opposed to the lower ranks? What do you look for to demonstrate the right attitude?

Strong and visible support of senior pipeline operator management is important to the success of the integrity management program required by 195.452. Although there are no simple indicators to demonstrate management support, OPS will attempt to gauge the level of management commitment during inspections by assessing such things as whether an adequate level of resources are applied to integrity management,

whether schedules and plans are met, and how problems and unexpected situations that may arise are dealt with. OPS expects that responsible managers will have an understanding of major elements of the IM program and will be aware of any significant integrity issues. OPS will look for evidence of this knowledge during its review of program documentation and discussions with key personnel. Indications of possible inadequate support and involvement by management may be used by OPS as indicators to adjust its plans for overseeing operator integrity management programs, since problems can be expected to occur more frequently in programs with inadequate management support.

Last Revision: 10/12/02